

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <i>San Jose</i>	Division <i>San Jose</i>	Number
Evaluated By <i>F. Lopez</i>		Date <i>3-4-08</i>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date _____
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	Commander's Review <i>[Signature]</i> Date <i>4/22/08</i>

1. COMMAND INVOLVEMENT

Evaluated <i>3-4-08</i>	Action Required <i>No</i>	Corrected
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a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?

☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force?

☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics?

☐ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?

☐ Yes    ☒ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?

☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?

☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?

☒ Yes    ☐ No

(a) Do they attend officer safety training sessions?

☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons?

*N/A* ☐ Yes    ☐ No

2. TRAINING AND CERTIFICATION

Evaluated <i>3-4-08</i>	Action Required	Corrected
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a. Do training records indicate formal training has been received and certified?

☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:

(a) Searching techniques?

☒ Yes    ☐ No

(b) Handcuffing?

☐ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training? <i>AS POSSIBLE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants? <i>IMMEDIATELY UPON REVIEW</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area? <i>NO OBSERVATIONS ON CHP 100 FORMS REGARDING OFFICER SAFETY TACTICS IN FIELD</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made? <i>→ 3<sup>RD</sup> QTR 2008 REVIEW ALL PRIOR</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors? <i>PIMA (6)</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program? <i>DET. K. PETER</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training? <i>AREA 208</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) What is the quality and quantity of the training being given? <i>Quality of it is important</i>		
<i>Quantity is also important. Pima records of training</i>		
(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>3-4-09</i>	Action Required <i>11</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated <i>3-4-08</i>	Action Required <i>YES</i>	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do officers thoroughly understand the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do incidents involving firearms show proper understanding of the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are shoots conducted as required by policy?	<i>APPROPRIATE CREDIT</i> <i>MAINTENANCE AVAILABLE</i> <input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(1) Have steps been taken to correct training deficiencies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Are weapons training and maintenance records readily available? Current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Does the Area have a weapons training officer?	<i>4 RANGE INSTRUCTORS, SHOOTING</i> <i>OUTSTANDING QUALITY</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Has the officer completed Academy training for weapons training officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Does the officer supervise all shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Is the officer well-organized in his/her training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4) Is there a designated alternate to the weapons training officer?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Has that officer received Academy training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	<i>FOR THE PURPOSES OF RANGE FACILITIES</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do plans follow instructions for range contract renegotiations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Have future range needs been considered?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place?	<i>GRAY</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have shotguns been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all shotguns accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Are shotguns fired annually to ensure operable condition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Have tactical rifles been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all tactical rifles accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Is ammunition only issued at the range?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(e) Is there an effective method for daily assignment and control?	<i>UNDER REVIEW</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?

2007 AMMO - WILLIAM  
RIVER - F. MATHIAS  
SUP - VAN CISEN  
2008 AMMO - RICHARD - SUP -

☒ Yes ☐ No

(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?

☒ Yes ☐ No

(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?

☒ Yes ☐ No

i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?

SATANTA - PANTON VALLEY

☒ Yes ☐ No

(1) If RP handles ammunition, are proper accountability procedures in place?

N/A

☐ Yes ☒ No

j. Are required inspections conducted in conjunction with the annual 118?

☒ Yes ☐ No

(1) Is a second inspection of the primary firearm conducted every six months?

☒ Yes ☐ No

5. PHYSICAL METHODS OF ARREST

Evaluated

3-4-08

Action Required

NO

Corrected

a. Do officers practice weaponless defense?

☒ Yes ☐ No

(1) Are officers familiar with the opponent's five weakest points?

☒ Yes ☐ No

(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?

☒ Yes ☐ No

b. Were demonstrations of the following control techniques by officers observed:

(1) Control holds?

☒ Yes ☐ No

(2) Punches?

☒ Yes ☐ No

(3) Strikes?

☒ Yes ☐ No

(4) Blocks?

☒ Yes ☐ No

(5) Defensive kicks?

☒ Yes ☐ No

(6) Defenses against grabs?

☒ Yes ☐ No

(7) Defenses against weapons?

☒ Yes ☐ No

(8) Ground defense and takedowns?

☒ Yes ☐ No

(9) Placing and removing suspects into and from vehicles?

☒ Yes ☐ No

(10) Carotid hold? Note: The use of the Carotid hold is temporarily suspended.

☒ Yes ☐ No

(a) Are officers following guidelines for the carotid hold as listed in policy?

☒ Yes ☐ No

(b) Has use of excessive force awareness training been conducted?

☒ Yes ☐ No

c. Were observations of practical handcuffing techniques made?

☒ Yes ☐ No

(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?

☒ Yes ☐ No

(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?

☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

<b>6. ENFORCEMENT TACTICS</b>	Evaluated <i>3-4-08</i>	Action Required <i>None</i>	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

<b>7. PURSUITS</b>	Evaluated <i>3-4-08</i>	Action Required <i>None</i>	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<i>NO. CO. TRAFFIC SAFETY TRAINING</i> <input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(1) Are any written agreements on file?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Is Division involved in the planning process?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

<b>8. FORCIBLE STOPS</b>	Evaluated <i>3-4-08</i>	Action Required <i>N/A</i>	Corrected
a. Are Area personnel knowledgeable regarding the policy on forcible stops? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(1) Does the Area follow departmental policy? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(2) Have forcible stop reports been reviewed for compliance with policy? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<b>9. ROADBLOCKS</b>	Evaluated <i>3-4-08</i>	Action Required <i>N/A</i>	Corrected
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip? <i>ALL VEH/UNITS</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(1) Are strategic points and personnel assignments outlined? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(2) Have the officers received instructions on the proper methods of establishing roadblocks? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(3) Have interagency training sessions been conducted? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<b>10. RADIO FAMILIARIZATION</b>	Evaluated <i>3-4-08</i>	Action Required	Corrected
a. Are officers familiar with all aspects of the radio control head? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
c. Can officers efficiently operate all emergency equipment from the radio head? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**  
March 4, 2008

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain D. Webb, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Captain D. Webb makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (S. Miller) maintains an anniversary roster of all uniformed personnel. Officer S. Miller schedules OST/PMA practical training throughout the year as well as providing review during quarterly training days. Officer S. Miller scheduled the annual certification OST/PMA during November 2007 when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.

- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. A majority of the CHP 100 forms did include documentation of officer/occupational "safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains five OST/PMA instructors. The instructors are Sergeant K. Perez, Officers S. Miller, S. Emmons, E. Flynn, J. Gaffney, and T. Fenton. Sergeant K. Perez is responsible for the OST/PMA program; however Sergeant K. VanOrsdel is designated the Area training supervisor. The number of instructors is appropriate and the Area's equipment is adequate.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**

March 4, 2008

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 2 of 5

3     SAFETY EQUIPMENT

- 3A     All officers are certified in the OC/pepper spray. Officers S. Shockley and R. Hedgecock were questioned regarding the use of the OC spray, decontamination and limitations. The officers were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D   The officers were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 90% of the Area is ASP certified. In addition, the officer's equipment was in good condition.
- 3E     The officers stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer S. Miller is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F     Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers S. Miller, M. Aldrich, S. Emmons, and J. Shelter are responsible for weapons inspections and Academy qualified.

4     FIREARMS

- 4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. It was recommended by Officer F. Lamb and J. Cate that the Area utilizes a type of "sign-off" review of policy during all quarters of the year to assure accountability by uniformed employees. Officers S. Shockley and R. Hedgecock were questioned on his knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.
- 4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during all twelve months scheduled in 2007. Officer S. Emmons designated as the primary "*Weapons Officer*" regularly schedules three range dates per month. The average monthly pistol shoots attended during 2006 by Area personnel is six (6.2). The average monthly shoots credited per employee are approximately nine (8.5) which equal an average of approximately two make-up shoots per employee.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
OCEANSIDE AREA**

March 4, 2008

**OCEANSIDE AREA**

**CHAPTER 17**

Page 3 of 5

This low average is cumulative and does not account for personnel assigned to special duty, limited duty, 4800 time, or military leave.

**Action:**

**HPM 70.8, Chapter 3, (1) (a) Required Shoots states that employees shall fire 12 primary firearm shoots each year (one each month). In the case of exceptional hardship, commanders may further limit the number of required shoots with the concurrence of the Division commander.**

- 4C Officers S. Emmons and J. Shelter coordinate the Academy qualified weapons training program. Officer S. Gutierrez is the designated the alternate to the weapons training officers.
- 4D The range facility, Iron Sights Shooting Range, is the contracted range for the Oceanside area office. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked locker; the locker is located in the "Armory Room". The ammo locker can only be accessed by Officer S. Miller. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy.
- 4F The clearing tube is located at the rear entrance to the Area office. Officers were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer S. Miller maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The records were checked and no discrepancies or inaccuracies were noted. Officer S. Miller has been responsible for the recording of ammunition inventory during the requisition and returns subsequent all scheduled range training. In addition, Officer S. Miller has maintained very organized ammunitions records system.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**

March 4, 2008

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 4 of 5

The Oceanside Area is in compliance with policy which includes Government Code Section 13403 which states that a *"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."* This procedure ensures a thorough and systematic ammunition inventory process. As of March 2008, Officer S. Miller can no longer be responsible for the ammunition requisition, receiving, recording of ammunition inventory, and officers training records. Officer S. Miller will have conflicting collateral duties as the Area training and weapons (*range*) officer and cannot be involved with both the ammunition and training records due to the above mentioned policy/government code. As discussed with Sergeant K. VanOrsdel on the evaluation date, Officers F. Lamb and J. Cate did recommend that Area designate a new *"Ammunition Officer"* to be responsible for the recording of all ammunition inventories regarding all requisitions and returns. In addition, Area procedure would include an Ammunition Officer, a Range Officer (S. Miller), and a Supervisor (K. VanOrsdel).

5 PHYSICAL METHODS OF ARREST

5A The officers were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

5B-D The officers (~~S. Shockey and R. H. [redacted]~~) were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUIITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.



**BORDER DIVISION  
CHAPTER 17 INSPECTION  
OCEANSIDE AREA**

March 4, 2008

**OCEANSIDE AREA  
CHAPTER 17**

Page 5 of 5

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on March 4, 2008. Sergeant K. VanOrsdel and Officer S. Miller were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

TEMECULA	BORDER	
Area 685	Division 601	Number
Evaluated By J. M. CATE		Date 3-5-08

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation	Suspense Date
<input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation	

Follow-up Required	<input type="checkbox"/> Correction Report	by	Commander's Review	Date
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				

1. COMMAND INVOLVEMENT	Evaluated	Action Required	Corrected
	3-5-08	NO	

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? ☒ Yes    ☐ No  
SEE NARRATIVE

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes    ☐ No

(a) Do they attend officer safety training sessions? ☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A    ☐ Yes    ☐ No

2. TRAINING AND CERTIFICATION	Evaluated	Action Required	Corrected
	3-5-08	NO	

a. Do training records indicate formal training has been received and certified? ☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:

(a) Searching techniques? ☒ Yes    ☐ No

(b) Handcuffing? ☒ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated 3.5.08	Action Required ND	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?	20 w/ASP		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?	100 PERCENT		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?	MARCH 07 DURING 199 CERTIFICATION		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?		AMMUNITION OFFICER: R. THURSTON RANGE OFFICER: S. CEBALLOS SUPERVISOR: SGT. FRANCISCO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?			<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?			<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated 3-5-08	Action Required NO	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated 3-5-08	Action Required NO	Corrected
-------------	---------------------	-----------------------	-----------

a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**TEMECULA AREA**  
March 5, 2008

**TEMECULA AREA**  
**CHAPTER 17**

Page 1 of 3

ITEM

**1      COMMAND INVOLVEMENT**

- 1A      The Commander, Captain J. Jungers, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. The Captain emphasizes and supports additional training related to officer safety and enforcement tactics. In addition, Captain J. Jungers makes every effort to attend all scheduled quarterly training days.

**2      TRAINING AND CERTIFICATION**

- 2A      The Training Officer (S. Ceballos) maintains an anniversary roster of all uniformed personnel. Officer S. Ceballos incorporates OST/PMA training review during quarterly training days. Annual Officer Safety Training (OST) Days are scheduled in March when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, all uniformed personnel have been certified. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B      A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Forty-five CHP 100 forms were reviewed; eleven had comments regarding officer safety tactics observed in the field. Thirty CHP 100 forms did include documentation of "safety tips" or "occupational safety." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**TEMECULA AREA**  
March 5, 2008

**TEMECULA AREA**  
**CHAPTER 17**

Page 2 of 3

- 2D Area maintains three OST/PMA instructors. The instructors are Officers S. Ceballos, R. Thurston, and J. Sandoval. Sergeant C. Francescon is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.

**3 SAFETY EQUIPMENT**

- 3B-D The equipment worn by officers during the Chapter 17 Inspection appeared to be in good condition.

- 3E All officers were observed wearing their protective vests. Per Officer Ceballos, all officers that were in need of new protective vests have already been replaced.

- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers S. Ceballos, M. McCracken, and H. Ray are responsible for weapons inspections and Academy qualified.

**4 FIREARMS**

- 4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes a "Policy Review" binder with a completion "sign-off" by all uniformed personnel.

- 4B A review of the OTS "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during 2007. The average monthly pistol shoots attended during 2007 by Area personnel is eight. The average monthly pistol shoots credited per employee is eleven through twelve months.

- 4C Officers S. Ceballos and M. McCracken coordinate the Academy qualified weapons training program. Officer H. Ray is the designated alternate to the weapons training officer.

- 4D The range facility, Pala Range, is the contracted range for the Temecula area office. This range meets the needs to facilitate all required shoots as described in policy.

- 4E The Area's ammunition is kept in a locked wall locker; the locker is located outside the main building in the locked "Training Trailer." The locker can be accessed by the Ammunition Officer, Officer R. Thurston, and Sergeant C.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
TEMECULA AREA**

March 5, 2008

**TEMECULA AREA  
CHAPTER 17**

Page 3 of 3

Francescon with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy.

- 4G The weapons training records were checked and no discrepancies were noted. Officer S. Ceballos maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The current procedure includes an Ammunition Officer (R. Thurston), a Range Officer (S. Ceballos), and a Supervisor (C. Francescon) which ensures a thorough and systematic ammunition inventory process.

**5 PHYSICAL METHODS OF ARREST**

On the date of the Chapter 17 Inspection, there were four officers working the field for the entire area. Based on the needs of the public, I advised Officer Ceballos that it would be best not to bring in officers from the field.

**6 ENFORCEMENT TACTICS**

- 6A Area utilizes scenario based training during OST certification to continue their proficiency and provide a good working knowledge of the force continuum.

**7 PURSUIITS**

- 7A The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. In addition, all uniformed personnel have completed a factory training course on the use, handling and instruction of Stinger Spike Systems presented by Stinger Spike Systems.

**CONCLUSION**

The Chapter 17 Inspection was conducted by Officer J. Cate on March 5, 2008. Commander J. Jungers was present during the post evaluation discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.



Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

DEVELOPMENTAL Area 656	BORDER Division 601	Number
Evaluated By F.P. LAMAB J. CATE		Date 2-19-08

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date	
<input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation			
Follow-up Required	<input type="checkbox"/> Correction Report	by _____	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Commander's Review <i>R. F. LAMAB</i> Date <i>3/13/08</i>	
1. COMMAND INVOLVEMENT		Evaluated	Action Required
		2-19-08	NO
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(a) Do they attend officer safety training sessions?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(b) If they are not involved in officer safety, what are the reasons?		N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
2. TRAINING AND CERTIFICATION		Evaluated	Action Required
		2-19-08	NO
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:		4TH QTR TRAINING DEC. 2007	
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>2-19-08</i>	Action Required <i>No</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?		<i>ALP ALSO</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?		<i>OFFICER WILHITE + EDWARDS</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken? *DET. BROWN*

☒ Yes ☐ No

(a) Beginning inventory determined?

☒ Yes ☐ No

(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?

☒ Yes ☐ No

(c) Has the total rounds issued per ammunition records been determined?

☒ Yes ☐ No

(d) Has a physical inventory of ammunition been taken?

☒ Yes ☐ No

(e) Has the physical count been compared to the balance on hand according to the inventory record?

☒ Yes ☐ No

(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?

☒ Yes ☐ No

(g) Has the mathematical accuracy of the inventory records been tested?

☒ Yes ☐ No

(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery? *DATED & ROTATED*

☒ Yes ☐ No

f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?

☒ Yes ☐ No

(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?

☒ Yes ☐ No

g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?

☒ Yes ☐ No

(1) Do the dates recorded on the various records correspond to the actual date training was conducted?

☒ Yes ☐ No

(2) Do training dates correspond to the activity information on the employee's CHP 415?

☒ Yes ☐ No

(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?

☒ Yes ☐ No

(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?

☒ Yes ☐ No

(a) Once done, was the disposition of any unused ammunition verified for those training days tested?

☒ Yes ☐ No

(5) Are records kept undated as training takes place?

☒ Yes ☐ No

(6) Is training recorded on the employee's CHP 270? *OTS (ETRS)*

☒ Yes ☐ No

(7) Is required information recorded in accordance with established guidelines and instructions?

☒ Yes ☐ No

(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?

☒ Yes ☐ No

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated <i>2-19-08</i>	Action Required <i>NO</i>	Corrected
------------------------	-----------------------------	------------------------------	-----------

a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated <i>2-19-08</i>	Action Required <i>NO</i>	Corrected
-------------	-----------------------------	------------------------------	-----------

a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2007

**DESERT HILLS**  
**CHAPTER 17**

Page 1 of 5

ITEM

1     COMMAND INVOLVEMENT

1A    The Commander, Lieutenant R. Finale, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, officer safety training, and the personnel's awareness of occupational safety. Lieutenant R. Finale has attended all scheduled "2007 Monthly Pistol Shoots", and quarterly training days. In addition, during 2007 Lieutenant R. Finale has conducted a facility tactical assessment, and provided uniformed personnel with "active shooter" overview training. All employees have performed a walk-through familiarization addressing tactical assessment issues. The Desert Hills I.F. Area is planning a regional training day during 2008 to include terrorist truck(s) take-down in conjunction with FBI/DHS, active shooter response protocol, and school securement schematics for dissemination to all local law enforcement agencies.

2     TRAINING AND CERTIFICATION

2A    The Training Officer (G. Goodwin) maintains an anniversary roster of all uniformed personnel. The Area scheduled the annual CHP 199 certification during December 2007. Officer G. Goodwin scheduled all uniformed personnel to attend the annual certification training. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, the Chapter 17 Inspection, all active duty uniformed personnel have completed 2007 certification. In addition, Officer D. Edmonds completed OST/PMA instructor certification which was administered by the Border Division Training Unit, Officer J. Cate. The S.R.O.V.T. calendar is reviewed at each shift briefing.

2B    A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Twenty-five CHP 100 forms were reviewed; only one had comments regarding officer safety tactics observed by a supervisor. Due to the fact that the Area is an inspection facility, all of the CHP 100 forms did include documentation of "safety tips" and/or "occupational safety tips" pertaining specifically to commercial inspection procedures with an emphasis on general safety. Area personnel participate regularly during "STAR" campaigns, field related assignments, and general public contacts which would allow for future observation of officer safety tactics by supervisors.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2007

**DESERT HILLS**  
**CHAPTER 17**  
Page 2 of 5

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains three OST/PMA instructors. The instructors are Sergeant T. Clarke, Officer D. Edmonds, and Officer S. Wilhite. Sergeant M. Orchard is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.

3 **SAFETY EQUIPMENT**

- 3A All officers are certified in the OC/pepper spray. Officers G. Goodwin and H. Gutierrez were questioned regarding the use of the OC spray, decontamination and limitations. They were well versed in these procedures. One patrol car was checked at random which did contain saline bottles (500 ml) in the trunk box.
- 3B-D Officers G. Goodwin and H. Gutierrez were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed good proficiency with their holsters and loading devices. Both officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. In addition, the officer's equipment was in good condition.
- 3E Officers G. Goodwin and H. Gutierrez stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer G. Goodwin is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. However, Border Division completed the TSW4006 transition in November 2007 and inspection schedules will only become applicable in May/June, six months subsequent the issue of the new weapon or in conjunction with an officers' "CHP-118 Performance Appraisal."

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**

February 19, 2007

**DESERT HILLS**  
**CHAPTER 17**

Page 3 of 5

Officers S. Wilhite and D. Edmonds are responsible for the weapon inspections and are Academy qualified.

4     FIREARMS

- 4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, CA POST DVD, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy during quarterly training days. The Area utilizes a "Policy Review Binder" with individual personnel review sign-off during each quarter. Officers G. Goodwin and H. Guitierrez were questioned on their knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.
- 4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during eleven months scheduled during 2007. The average monthly pistol shoots attended during 2007 by Area personnel is ten (9.9). The average monthly shoots credited/recorded per employee are ten (10.2). This very high average for eleven months is cumulative for all personnel from Area 656 and is an outstanding reflection of the dedication to this very important training component.
- 4C     Officers S. Wilhite and D. Edmonds coordinate the Academy qualified weapons training program. Sergeant M. Brown is the designated alternate to the weapons training officers.
- 4D     The range facilities, Banning Sportsmans Club, meets the needs to facilitate all required shoots as described in policy.
- 4E     The Area's ammunition is kept in a locked locker; the locker is located in an "Armory" room. The locker can be accessed by Sergeants M. Orchard or M. Brown with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically audit the ammunition by Sergeants M. Orchard or M. Brown and a subsequent memorandum is forwarded to Lieutenant R. Finale for review.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**

February 19, 2007

**DESERT HILLS**  
**CHAPTER 17**

Page 4 of 5

- 4F The clearing tube is located at the rear entrance to the Area office. Officers R. Garcia and S. Feaster were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted.
- 4H The Desert Hills I.F. Area currently follows policy which includes Government Code Section 13403 which states that a *"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."* The Area procedure in place ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition. The current procedure includes an Ammunition Officer (G. Goodwin), Range Officer(s) (S. Wilhite, D. Edmonds), and Sergeant M. Brown to oversee the process to ensure a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

- 5A ~~Officers G. Goodwin and H. Gutierrez~~ were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.
- 5B-D ~~Officer G. Goodwin and H. Gutierrez~~ were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

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- 6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2007

**DESERT HILLS**  
**CHAPTER 17**

Page 4 of 5

7     PURSUIITS

- 7A    The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on February 19, 2008. Lieutenant R. Finale, Sergeant M. Brown, and Officer Goodwin were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
DESERT HILLS INSPECTION FACILITY  
February 19, 2008**

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**DESERT HILLS  
CHAPTER 17**

Page 1 of 5

ITEM

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**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2008

**DESERT HILLS**  
**CHAPTER 17**

Page 2 of 5

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**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**

February 19, 2008

**DESERT HILLS**  
**CHAPTER 17**

Page 3 of 5

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**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2008

**DESERT HILLS**  
**CHAPTER 17**

Page 4 of 5

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**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**DESERT HILLS INSPECTION FACILITY**  
February 19, 2008

**DESERT HILLS**  
**CHAPTER 17**

Page 4 of 5

7     PURSUIT

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Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

RAINBOW IR Area 686	60222 Division 601	Number
Evaluated By F. LAMB J. CATE		Date 3-4-08

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date	
<input checked="" type="checkbox"/> Formal Evaluation	<input type="checkbox"/> Informal Evaluation		
Follow-up Required	<input type="checkbox"/> Correction Report	by _____	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Commander's Review Date 5-11-08	
1. COMMAND INVOLVEMENT		Evaluated 3-4-08	Action Required No
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do they attend officer safety training sessions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) If they are not involved in officer safety, what are the reasons?		N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No
TRAINING AND CERTIFICATION		Evaluated 3-4-08	Action Required No
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:		CHP 199 - DEC. 2007	
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated	Action Required	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	12-4-08	No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?		FROM TEAM	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?		CARRY 50%	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		N/A - 2007	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?	DFIC J. GARCIA + C. PEREZ		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



# MANAGEMENT EVALUATION

17

PER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken? *R. Turner / S. Flores* *(0-20-17)* ☒ Yes ☐ No

(a) Beginning inventory determined? ☒ Yes ☐ No

(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined? ☒ Yes ☐ No

(c) Has the total rounds issued per ammunition records been determined? ☒ Yes ☐ No

(d) Has a physical inventory of ammunition been taken? ☒ Yes ☐ No

(e) Has the physical count been compared to the balance on hand according to the inventory record? ☒ Yes ☐ No

(f) Have rounds issued per training records been compared to rounds fired per shooting rosters? ☒ Yes ☐ No

(g) Has the mathematical accuracy of the inventory records been tested? ☒ Yes ☐ No

(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected; quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery? ☒ Yes ☐ No

Is policy adhered to requiring firearms not be drawn, loaded, unloaded or fired except in the clearing tube? ☒ Yes ☐ No

(i) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge? ☒ Yes ☐ No

Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information? ☒ Yes ☐ No

(1) Do the dates recorded on the various records correspond to the actual date training was conducted? ☒ Yes ☐ No

(2) Do training dates correspond to the activity information on the employee's CHP 415? ☒ Yes ☐ No

(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)? ☒ Yes ☐ No

(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)? ☒ Yes ☐ No

(a) Once done, was the disposition of any unused ammunition verified for those training days tested? ☒ Yes ☐ No

(5) Are records kept updated as training takes place? ☒ Yes ☐ No

(6) Is training recorded on the employee's CHP 270? *075* ☒ Yes ☐ No

(7) Is required information recorded in accordance with established guidelines and instructions? ☒ Yes ☐ No

(8) Is a roster maintained for each shoot which includes pertinent information (type of shoot, scores, date, etc.)? ☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons? <i>TEMPORARY + NOTE 2 F</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy? <i>REVIEWED DURING TRAINING</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
6. ENFORCEMENT TACTICS	Evaluated <i>3-4-08</i>	Action Required <i>No</i>	Corrected		
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
7. PURSUITS	Evaluated <i>3-4-08</i>	Action Required <i>No</i>	Corrected		
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits? <i>POLICY REVIEW RUNDL</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits? <i>CHP POLICY</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
March 4, 2008

**RAINBOW I. F.**  
**CHAPTER 17**

Page 1 of 4

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Lieutenant B. Specht, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Lieutenant B. Specht makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (J. Garcia) maintains an anniversary roster of all uniformed personnel. Officer J. Garcia incorporates OST/PMA training review during quarterly training days. There are two annually scheduled Officer Safety Training (OST) Days in December when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, all uniformed personnel have been certified. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.

- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Due to the fact that the Area is an inspection facility, it is on a rare occasion that officers are in a marked CHP unit and on road patrol. CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Some of the forms did have attached "Ride-A- Along" forms with documentation of officer safety tactic observations and safety tips. Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP-100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
March 4, 2008

RAINBOW I. F.  
CHAPTER 17  
Page 1 of 4

2D Area maintains two OST/PMA instructors. The instructors are Officer H. Bonilla and C. Barres. Sergeant R. Inman is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.

3 SAFETY EQUIPMENT

3A All officers are certified in the OC/pepper spray. Officer J. Garcia ensured that the patrol cars are checked at regularly to confirm they contain saline bottles (1000 ml) in the trunk box.

3B-D Officer J Garcia stated that all officers are proficient in PMA. The area reviews the specific PMA, PR-24, and ASP baton techniques and policy. Uniformed personnel are proficient with the duty holsters and loading devices subsequent the TSW4006 transition. In addition, the all uniformed personnel equipment is in good condition.

3E Uniformed personnel wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer J. Garcia is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers H. Bonilla and C. Barres are responsible for weapons inspections and Academy qualified.

4 FIREARMS

4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes a "Policy Review" binder with a completion "sign-off" by all uniformed personnel.

4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during twelve months scheduled in 2007. The average monthly pistol shoots attended during 2007 by Area personnel is ten (10.4). The average credited monthly pistol shoots per employee are 11(10.8). This very high average is cumulative for all personnel from Area 686 and is an outstanding reflection of the dedication to this very important training component.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
RAINBOW INSPECTION FACILITY**

March 4, 2008

**RAINBOW I. F.**

**CHAPTER 17**

Page 3 of 4

- 4C Officers J. Garcia, H. Bonilla, and C. Barres coordinate the Academy qualified weapons training program. Sergeant M. Brey is the designated alternate to the weapons training officers.
- 4D The range facility, Pala Range, is the contracted range for the Rainbow I. F. office. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked locker; the locker is located in the "Explosives Room." The locker can be accessed by Ammunition Officer, Officer S. Flores and Sergeant M. Brey with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically (quarterly) audit the ammunition by Officer S. Flores and a subsequent memorandum is prepared and forwarded to Lieutenant B. Specht for review.
- 4F The clearing tube is located outside the main building, adjacent to "Inspection Bay #3", within an enclosed area lined with a chain linked fence.
- 4G The weapons training records were checked and no discrepancies were noted. Officer J. Garcia maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The current procedure includes an Ammunition (Officer S. Flores), Range Officer (J. Garcia), and a Supervisor (Sergeant R. Inman) which ensures a thorough and systematic ammunition inventory process. The area follows policy which includes Government Code Section 13403.
- 5 PHYSICAL METHODS OF ARREST
- 5A The five most vulnerable areas of an attacker are reviewed by personnel during PMA/OST training throughout the year.
- 5B-D During the time of the scheduled inspection Area 686 was unable to provide personnel to randomly demonstrate various arrest, control, handcuffing, and searching techniques due to facility commercial operations and limited staffing.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
March 4, 2008

**RAINBOW I. F.**  
**CHAPTER 17**  
Page 4 of 4

Officer J. Garcia assured that personnel are able to accurately demonstrate and perform the techniques and searches. Officer J. Garcia was able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officer was well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A Officer J. Garcia was questioned about the force continuum and given scenarios to provide an application of policy. The officer showed a good working knowledge of the force continuum.

7 PURSUITS

7A Officer J. Garcia was given scenarios dealing with pursuit and forcible stops policy. He demonstrated an excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. In addition, all uniformed personnel have completed a factory training course on the use, handling and instruction of Stinger Spike Systems presented by "Stinger" Spike Systems.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on March 4, 2008. Officer J. Garcia was present during the post evaluation discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>651</u>	Division <u>601</u>	Number
Evaluated By <u>F. LAMB</u> <u>J. CATR</u>		Date <u>2-26-08</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation	Suspense Date
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	by <u>9113</u> <u>4-10-08</u>
<input type="checkbox"/> Correction Report	Commander's Review Date

1. COMMAND INVOLVEMENT	Evaluated <u>2-26-08</u>	Action Required <u>NO</u>	Corrected
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a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? ☒ Yes ☐ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes ☐ No

(a) Do they attend officer safety training sessions? Nov. 2007 ☒ Yes ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A ☐ Yes ☐ No

2. TRAINING AND CERTIFICATION	Evaluated <u>2-26-08</u>	Action Required <u>NO</u>	Corrected
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a. Do training records indicate formal training has been received and certified? ☐ Yes ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: OST/PTM CERT (199) NOV 2007

(a) Searching techniques? ☒ Yes ☐ No

(b) Handcuffing? ☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>2-26-08</i>	Action Required <i>NO</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are officers/sergeants familiar with the function of their duty holsters? <i>(N/A) BREAK-IN</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
d. Do officers/sergeants routinely practice with their batons? <i>300 FT / 10 FT DURING RE CERT</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do officers/sergeants carry their batons on all enforcement stops? <i>80% ASP</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
e. Does the majority of uniformed personnel routinely wear body armor?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor? <i>HAVE NEW SBA ALL PERSONNEL</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument? <i>N/A 2007</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(a) If so, did the involved officer receive a complete physical examination? <i>N/A</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do CHP 311 forms indicate compliance? <i>3 LAST DUARAN / 102000 / 102000</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Were deficiencies corrected within 30 days of the inspection?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated <i>2-26-09</i>	Action Required <i>No</i>	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<i>SPECIFIC FOR INSPECTION</i> <i>Facility</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<i>IN ADDITION TO FOTS</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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7. PURSUITS	Evaluated <i>2-26-09</i>	Action Required <i>No</i>	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
---	---	-----------------------------

(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
----------------------	---	-----------------------------

(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
--	---	-----------------------------

b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN ONOFRE INSPECTION FACILITY**  
February 26, 2008

**SAN ONOFRE I. F.**

**CHAPTER 17**

Page 1 of 4

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Lieutenant R. Rennie, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Lieutenant R. Rennie makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (M. Ferrante) maintains an anniversary roster of all uniformed personnel. Officer M. Ferrante has been assigned the Training Officer duties since January 1, 2007. The San Onofre Inspection Facility incorporates OST/PMA training review during quarterly training days. The facility annually schedules two Officer Safety Training (OST) Days in November/December when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, facility uniformed personnel have been certified. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Due to the fact that the Area is an inspection facility, it is on a rare occasion that officers are in a marked CHP unit and on road patrol. A majority of the CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Area personnel participate regularly during "STAR" campaigns, field related assignments, and general public contacts which would allow for future observation of officer safety tactics by supervisors. Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."
- Action:**
- Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**
- 2C Training records indicated that all personnel are proficient and did not require remedial training.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN ONOFRE INSPECTION FACILITY**  
February 26, 2008

**SAN ONOFRE I. F.**  
**CHAPTER 17**

Page 2 of 4

2D Area maintains four OST/PMA instructors. The instructors are Sergeants L. Lazo, and V. Marchante, and Officers D. Duquene and M. Ferrante. Sergeants L. Lazo and V. Marchante are responsible for the OST/PMA program and designated as the training supervisor. The number of instructors is appropriate and the Area's equipment is adequate.

3 SAFETY EQUIPMENT

3A All officers are certified in the OC/pepper spray. Officers [REDACTED] and [REDACTED] were questioned regarding the use of the OC spray, decontamination and limitations. The officers were well versed in these procedures. Officer M. Ferrante assured that patrol cars assigned to the facility are checked to confirm that they do contain saline bottles (1000 ml) in the trunk box.

3B-D Officers [REDACTED] and M. [REDACTED] were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 80% of the uniformed personnel are ASP certified. In addition, the officer's equipment was in good condition and the facility has conducted inspections of equipment during 2007.

3E The officers stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer M. Ferrante is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers D. Duquene, M. Lobdell, and B. Ladd are responsible for weapons inspections and are Academy qualified.

4 FIREARMS

4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes individual "Policy Review Folders" with a completion "sign-off" by all uniformed personnel. Officers were questioned on their knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN ONOFRE INSPECTION FACILITY**  
February 26, 2008

**SAN ONOFRE I. F.**  
**CHAPTER 17**

Page 3 of 4

- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during all twelve months scheduled during 2007. The average monthly pistol shoots attended during 2007 by Area personnel is nine (9.3). The average credited monthly pistol shoots for Area personnel is approximately eleven (11.2). This high average is cumulative for all personnel from Area 651 and is an excellent reflection of the dedication to this very important training component.
- 4C Officers D. Duquene and M. Lobdell coordinate the Academy qualified weapons training program (*range*). Officer B. Ladd is the designated the alternates to the weapons training officers.
- 4D The range facility, Iron Sights Range, is the contracted range for the San Onofre I. F. Area. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked wall locker; the locker is located in the northbound facility "Supply Room." The locker can be accessed by Ammunition Officer L. Remmes and Lieutenant R. Rennie with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically (quarterly) audit the ammunition inventory by L. Remmes and a subsequent memorandum is prepared and forwarded to Lieutenant R. Rennie for review.
- 4F The clearing tube is located against a safe wall located in the northbound scale house. Officers were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer M. Ferrante has maintained a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The records were checked and no discrepancies or inaccuracies were noted.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN ONOFRE INSPECTION FACILITY**  
February 26, 2008

**SAN ONOFRE I. F.**  
**CHAPTER 17**

Page 4 of 4

Officer L. Remmes is responsible for the recording of ammunition inventory during the requisition and returns subsequent all scheduled range training. In addition, Officer L. Remmes has maintained very organized ammunitions records system The San Onofre I. F. Area currently follows policy which includes Government Code Section 13403 which states that a *"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."* The current procedure in place ensures a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

5A Officers questioned were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

5B-D The officers were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUIITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on February 26, 2008. Lieutenant R. Rennie and Officer M. Ferrante were present during the post evaluation interview and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

CH 17 2008

Department of California Highway Patrol  
 AREA MANAGEMENT EVALUATION  
 Chapter 17  
 OFFICER SAFETY

Area <u>500</u>	Division <u>601</u>	Number
Evaluated By <u>J. Hume</u>		Date <u>3-26-08</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date	
<input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation			
Follow-up Required	<input type="checkbox"/> Correction Report	by <u>                    </u>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Commander's Review <u>                    </u> Date <u>3-26-2008</u>	
1. COMMAND INVOLVEMENT		Evaluated	Action Required
		<u>3-26-08</u>	<u>N/A</u>
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do they attend officer safety training sessions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) If they are not involved in officer safety, what are the reasons?		<u>N/A</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. TRAINING AND CERTIFICATION		Evaluated	Action Required
		<u>3-26-08</u>	<u>N/A</u>
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:		<u>199 -</u>	
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>3-3-08</i>	Action Required <i>NO</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters? <i>Both Transitioned</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons? <i>-Y DURING REVIEW + CERTIFICATION</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops? <i>50% ASP</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor? <i>ALL</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<i>N/A 2007</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?		<i>N/A</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance? <i>w/ ANNUAL IIR</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons? *ALL 2000* ☐ Yes ☒ No

(1) Has the local jail's experience with CHP arrests been reviewed? ☒ Yes ☐ No

(2) Has a practical demonstration of preliminary frisks and thorough searches been observed? ☒ Yes ☐ No

(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy? *AREA 5000* ☒ Yes ☐ No

6. ENFORCEMENT TACTICS

Evaluated

Action Required

Corrected

a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop? *31-ANNUAL* ☒ Yes ☐ No

b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals? *BASED UPON AVAILABLE* ☒ Yes ☐ No

(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?

☒ Yes ☐ No

(a) Is the violator stop effectively made?

☒ Yes ☐ No

(b) Is the violator completely controlled?

☒ Yes ☐ No

(c) Is the prisoner properly prepared for transportation?

☒ Yes ☐ No

c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations? *ALABAMA CO. POLICE* ☒ Yes ☐ No

(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction? *TRAINING* ☒ Yes ☐ No

(2) Are officers aware of the need to maintain fire discipline at all times? ☒ Yes ☐ No

(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid? ☒ Yes ☐ No

(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents? ☒ Yes ☐ No

7. PURSUITS

Evaluated

Action Required

Corrected

a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits? *STRAY* ☒ Yes ☐ No

(1) Number of units? *INDIVIDUAL POLICY* ☒ Yes ☐ No

(2) When to discontinue? *REVIEW* ☒ Yes ☐ No

(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy? ☒ Yes ☐ No

(a) Where noncompliance is indicated, were corrective actions taken? ☒ Yes ☐ No

b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits? ☒ Yes ☐ No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
March 3, 2008

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 1 of 4

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain S. Houston, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Captain S. Houston makes every effort to attend all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (B. Bardens) maintains an anniversary roster of all uniformed personnel. Officer B. Bardens incorporates OST/PMA training review during quarterly training days in addition to scheduling practical training during the year. OST/PMA training days are scheduled throughout the year, approximately one per month allowing for small class sizes with very active "hands-on" training and certification. The area conducts the training at Area 690 or the San Onofre Nuclear Generating Station (SONGS) facility which does allow for a more practical training environment. It is during the OST/PMA training days uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, all uniformed personnel have been certified. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. However, a majority of the CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
CAPISTRANO AREA**

March 3, 2008

**CAPISTRANO AREA**

**CHAPTER 17**

Page 2 of 4

- 2D Area maintains five OST/PMA instructors. The instructors are Sergeants S. Doumas, M. Huben-Wagner, Officers D. Hertsch, J. Stapleton, and B. Bardens. Sergeant S M. Huben-Wagner is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.
- 3 SAFETY EQUIPMENT
- 3A All officers are certified in the OC/pepper spray. Officers T. McCulloch was questioned regarding the use of the OC spray, decontamination and limitations. The officer was well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D During the date and time of the inspection the Capistrano Area was unable to have officers available to demonstrate their proficiency in PMA and OST. Sgt. S. Doumas assured that all uniformed personnel have continued with the "break-in" of the TSW4006 and related equipment (holster/magazines) and are proficient. Sgt. S. Doumas complimented Officer B. Bardens on his continued attention to detail as a "Weapons Officer."
- 3E Officer T. McCulloch stated that he always wears the protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer B. Bardens is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers B. Bardens, C. Tyler, and R. Baeza are responsible for weapons inspections and Academy qualified.
- 4 FIREARMS
- 4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes an individual "Policy Review" binder with a completion "sign-off" by all uniformed personnel. Officer T. McCulloch was questioned on his knowledge of policy and its applications. The officer showed a very good understanding of the shooting policy.
- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during twelve months scheduled in 2007. The average monthly pistol shoots attended during 2007 by Area personnel is nine (8.9). The average credited monthly pistol shoots per employee is eleven.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**

March 3, 2008

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 3 of 4

This high average is cumulative for all personnel and does not account for special duty assignments, 4800 time or military leave. In addition, the area averages are very good which verifies the importance of range training.

- 4C Officers B. Bardens and R. Rand coordinate the Academy qualified weapons training program. Sergeant F. Lugo is the designated the range supervisor responsible for the area weapons training program.
- 4D The range facility, On-Target Shooting Range, is the contracted range for the Capistrano area office. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked wall locker; the locker is located in the "Security Room." The locker can be accessed by Ammunition Officer, Officer E. Barnard, and Sergeant S. Doumas with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. There is a procedure in place to periodically (quarterly) audit the ammunition inventory. This quarterly audit is the responsibility of the Ammunition Officer (E. Barnard) and supervisor (S. Doumas) to make the notation on the form CHP 269 RECORD OF AMMUNITION and to prepare a subsequent memorandum that is forwarded to the commander for review.
- 4F The clearing tube is located in a safe location at the rear entrance to the area office
- 4G The weapons training records were checked and no discrepancies were noted. Officer B. Bardens maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is more than adequate. The current procedure includes an Ammunition Officer (E. Barnard), Range Officer (B. Bardens), and a Supervisor (Sergeant S. Doumas) which ensures a thorough and systematic ammunition inventory process.

**BORDER DIVISION**  
**CHAPTER 17-INSPECTION**  
**CAPISTRANO AREA**  
March 3, 2008

**CAPISTRANO AREA**

**CHAPTER 17**

Page 4 of 4

5     PHYSICAL METHODS OF ARREST

5A-D   During the date and time of the inspection the Capistrano Area was unable to have officers available to demonstrate their proficiency in PMA and OST. However, Officer T. McCulloch was able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. In addition, Officer T. McCulloch was well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6     ENFORCEMENT TACTICS

6A     The Capistrano Area conducts bi-annual training at the SONGS facility which allows officers to participate in enforcement tactics scenarios to maintain a good working knowledge of policy(s). In addition, the training is provides a practical application of policy with the incorporation of the force continuum

7     PURSUIITS

7A     The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on March 3, 2008. Sergeants S. Doumas was present during the post evaluation discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>SAN DIEGO</u>	Division <u>BORDAK</u>	Number
Evaluated By <u>F. LAMB</u> <u>J. CATE</u>		Date <u>2-4-08</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date _____	
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	Commander's Review <u>CMLC</u>	Date <u>3/6/08</u>
1. COMMAND INVOLVEMENT		Evaluated <u>2-4-08</u>	Action Required <u>NO</u>
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		Corrected <u>CAPTAIN MCGAGIN</u> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<u>SUMMARY 2 B</u> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(a) Do they attend officer safety training sessions?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(b) If they are not involved in officer safety, what are the reasons?		<u>N/A</u> <input type="checkbox"/> Yes <input type="checkbox"/> No	
2. TRAINING AND CERTIFICATION		Evaluated <u>2-4-08</u>	Action Required <u>NO</u>
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:		<u>AREA OST DAY - MONTHLY - 12</u> <u>OTC</u> <u>SCHALVINE</u>	
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>2-4-08</i>	Action Required <i>No</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?		<i>OST CERTIFICATION</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?		<i>ASP - 90% 75-</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<i>N/A 2007 - To Current</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<i>ONCE PER QUARTER</i> <i>F. ALTYA B. CROUNTON</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<i>ATRY F. ALTYA</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<i>NO SUPERVISOR SIGNATURES ON</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<i>ETRS + HARD COPY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy? <i>DURING CERTIFICATION &amp; TRAINING</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
6. ENFORCEMENT TACTICS	Evaluated <i>2-4-08</i>	Action Required <i>NO</i>	Corrected		
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop? <i>THROUGHOUT YR. CONTINU</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals? <i>DURING SCHEDULED OST TRAINING</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times? <i>@ RANGE</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents? <i>REVIEW DURING TRAINING</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
7. PURSUITS	Evaluated <i>2-4-08</i>	Action Required <i>NO</i>	Corrected		
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits? <i>F. ALTA 15454</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
February 4, 2008

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain C. McGagin, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Captain C. McGagin makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (W. Carrington) maintains an anniversary roster of all uniformed personnel. Officer W. Carrington schedules Area OST/PMA Training which includes practical training for all uniformed personnel throughout the year, as well as providing review during quarterly training days. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, the Chapter 17 Inspection, all active duty uniformed personnel have completed 2007 certification. On January 30, 2008, OST/PMA instructor certification was completed by the Border Division Training Unit, Officer J. Cate. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Forty CHP 100 forms were reviewed; only two had comments regarding officer safety tactics observed in the field. However, a majority of the remaining CHP 100 forms did include documentation of "safety tips" and/or "occupational safety tips." One of the forms did have attached "Ride-A- Along" forms with documentation of officer safety observations and safety tips. Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
February 4, 2008

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 2 of 5

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains thirteen OST/PMA instructors. The instructors are Sergeant R. Berg, S. Toth, Officers W. Carrington, P. Mann, A. Herrera, G. Newton, B. Shipwash, R. Rabello, R. Duran, D. Eadie, C. Duray, T. Doerr and M. Monteagudo. Sergeant S. Toth is responsible for the OST/PMA program and has been designated the Training Supervisor since April 1, 2007, a position previously held by Sergeant F. Hartman. The number of instructors is appropriate and the Area's equipment is adequate.
- 3 **SAFETY EQUIPMENT**
- 3A All officers are certified in the OC/pepper spray. Officers S. Navarra and J. Magdeleno were questioned regarding the use of the OC spray, decontamination and limitations. Officers S. Navarra and J. Magdeleno were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D Officers S. Navarra and J. Magdeleno were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 90% of the Area is ASP certified. In addition, the officers' equipment was in good condition.
- 3E Officers S. Navarra and J. Magdeleno stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer W. Carrington is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. However, Border Division completed the TSW4006 transition in November 2007 and inspection schedules will only become applicable in May/June, six months subsequent the issue of the new weapon. Officers W. Carrington, P. Mann, R. Duran, R. Rabello, and B. Shipwash are responsible for weapons inspections and are Academy qualified.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
February 4, 2008

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 3 of 5

4     FIREARMS

- 4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, CA POST DVD, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy during the Area training days. During the December 18, 2006 Chapter 17 Inspection a recommendation was made that the Area utilizes a type of "sign-off" review of policy(s) during all quarters of the year to assure accountability by uniformed employees. The Area (Officer W. Carrington) subsequently implemented "Individual Policy Review Packets" with sign-off. Officers S. Navarra and J. Magdeleno were questioned on their knowledge of policy and its applications including the Less Lethal weapon system. The officers showed a very good understanding of the shooting policy and the proper deployment procedures of the Less Lethal weapon system.
- 4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during all twelve months scheduled in 2007. Officer B. Carrington regularly schedules four range dates per month. The average monthly pistol shoots attended during 2007 by Area personnel is approximately eight (7.5). The average monthly shoots credited/recorded per employee are approximately ten (9.5) which equal an average of approximately two make-up shoots per employee through 2007. This adequate average is a cumulative calculation of approximately thirty percent (42 personnel) of the area personnel (*page 1 and 2 of the "Monthly Pistol Shoots" report*) and does not account for management, limited duty, 4800 time, or military leave personnel.
- 4C     Officer W. Carrington coordinates the Academy qualified weapons training program (*range*). Sergeant R. Berg and Officers P. Mann, B. Shipwash, R. Duran, R. Rabello, and G. Aid are all Academy qualified weapons training officers that assist with scheduled range duties on a regular basis. Monthly range schedules normally include two day and two night ranges to accommodate the number of personnel assigned to San Diego Area.
- 4D     The range facilities, Miramar SDSO Tactical Training Facility (Duffy's Town at Camp Elliott), and the Donovan State Prison are the contracted ranges for the San Diego Area office. The ranges meet the needs to facilitate all required shoots as described in policy.
- 4E     The Area's ammunition is kept in a locked wall locker; the locker is located in the locked "Conex Container" outside the main building. The "Conex Container" is located in the rear gated parking lot. The ammo locker can only be accessed by Ammunition Officer, F. Aleta.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
February 4, 2008

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 4 of 5

A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. Area does have a current procedure in place to quarterly audit the ammunition inventory which does include a memorandum. Officer W. Carrington currently has collateral duties as the weapons/range officer and cannot be involved with the ammunition inventory process due to the policy/government code. It was recommended by Officers F. Lamb and J. Cate that the quarterly audit includes the supervisor to make a notation on the inventory form, "CHP 269 RECORD OF AMMUNITION," and is also reflected on the subsequent memorandum that is forwarded to the commander for review.

- 4F The clearing tube is located at the rear entrance to the Area office. Officers S. Navarra and J. Magdeleno were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer W. Carrington maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The San Diego Area currently follows policy which includes Government Code Section 13403 which states that a ***"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."*** The Area procedure in place ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition. The current procedure includes an Ammunition Officer (F. Aleta), Range Officer (W. Carrington), and Sergeant S. Toth to oversee the process to ensure a thorough and systematic ammunition inventory process.
- 5 PHYSICAL METHODS OF ARREST
- 5A Officers S. Navarra and J. Magdeleno were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
February 4, 2008

**SAN DIEGO AREA**  
**CHAPTER 17**  
Page 5 of 5

5B-D Officers S. Navarra and J. Magdeleno were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

**6 ENFORCEMENT TACTICS**

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

**7 PURSUIT**

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. The Area (Officer W. Carrington) subsequently implemented "Individual Policy Review Packets" with sign-off review of policy during all quarters of the year to assure accountability by uniformed employees.

**CONCLUSION**

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on February 4, 2008. Lieutenant M. Maas, Sergeant S. Toth, and Officer W. Carrington were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The former Commander, Captain C. McGagin, was transferred to North Sacramento on July 1, 2009. Captain C. McGagin was committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. Acting Commander, Lieutenant P. Symonds, has continued with that commitment. In addition, Captain C. McGagin made every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (W. Carrington) maintains an anniversary roster of all uniformed personnel. Officer W. Carrington schedules Area OST/PMA Training which includes practical training for all uniformed personnel throughout the year, as well as providing review during quarterly training days. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, the Chapter 17 Inspection, all active duty uniformed personnel have completed 2009 certification. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Fifty CHP 100 forms were reviewed; only four had comments regarding officer safety tactics observed in the field. However, a majority of the remaining CHP 100 forms did include documentation of "safety tips" and/or "occupational safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 2 of 5

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains four certified OST/PMA Instructors. The instructors are Officers W. Carrington, A. Herrera, D. Eadie, and J. Colin. The area is continuing to schedule selected personnel to attend the OST Instructor course (80-hour) to meet the recommended 1:10 instructor to officer ratio. Sergeant S. Toth is responsible for the OST/PMA program and has been designated the Training Supervisor since April 1, 2007. The number of instructors is satisfactory pending additional personnel scheduled for OST Instructor training to increase the area ratio. The Area's equipment is adequate.
- 3 SAFETY EQUIPMENT
- 3A All officers are certified in the OC/pepper spray. Officers [REDACTED] and [REDACTED] were questioned regarding the use of the OC spray, decontamination and limitations. Officers D. Newell and J. Contreras were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D Officers [REDACTED] and [REDACTED] were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 90% of the Area is ASP certified. In addition, the officers' equipment was in good condition.
- 3E Officers [REDACTED] and [REDACTED] stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer W. Carrington is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers W. Carrington, P. Mann, R. Duran, and D. Eadie are responsible for weapons inspections and are Academy qualified.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 3 of 5

4     FIREARMS

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- 4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during all eight months scheduled to present in 2009. Officer W. Carrington regularly schedules three/four range dates per month. The average monthly pistol shoots attended during 2009 to date by Area personnel is approximately four (4.2). The average monthly shoots credited/recorded per employee are approximately six (6.0) which equal an average of approximately two (1.8) make-up shoots per employee during 2009. This satisfactory average is a cumulative calculation of the (118) area personnel (*page 1 - 6 of the "Monthly Pistol Shoots" report*) and does not account for management, limited duty, 4800 time, or military leave personnel.
- 4C     Officer W. Carrington coordinates the Academy qualified weapons training program (*range*). Officers W. Carrington, P. Mann, R. Duran, and D. Eadie are all Academy qualified weapons training officers that assist with scheduled range duties on a regular basis. Monthly range schedules normally include two day and two night ranges to accommodate the number of personnel assigned to San Diego Area.
- 4D     The range facilities, Miramar SDSO Tactical Training Facility (Duffy's Town at Camp Elliott), and the Donovan State Prison are the contracted ranges for the San Diego Area office. The ranges meet the needs to facilitate all required shoots as described in policy.
- 4E     The Area's ammunition is kept in a locked wall locker; the locker is located in the locked "Conex Container" outside the main building. The "Conex Container" is located in the rear gated parking lot. The ammo locker can only be accessed by Ammunition Officer, F. Aleta.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**

August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 4 of 5

A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. Area does have a current procedure in place to quarterly audit the ammunition inventory which does include a memorandum. It was recommended that the quarterly audit includes the supervisor to make a notation on the inventory form, "CHP 269 RECORD OF AMMUNITION," and is also reflected on the subsequent memorandum that is forwarded to the commander for review.

- 4F The clearing tube is located at the rear entrance to the Area office. Officers D. Newell and J. Contreras were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer W. Carrington maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The San Diego Area currently follows policy which includes Government Code Section 13403 which states that a ***"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."*** The Area procedure in place ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition. The current procedure includes an Ammunition Officer (F. Aleta), Range Officer (W. Carrington), and Sergeant S. Toth to oversee the process to ensure a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

- 5A Officers ~~D. Newell~~ and ~~J. Contreras~~ were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 5 of 5

5B-D Officers [REDACTED] and J. [REDACTED] were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7. PURSUITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. The Area (Officer W. Carrington) utilizes "Individual Policy Review Packets" with sign-off review of policy during all quarters of the year to assure accountability by uniformed employees.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on August 14, 2009. Acting Commander, Lieutenant P. Symonds, Sergeant S. Toth, and Officer W. Carrington were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>SAN DIEGO</u>	Division <u>BORDER</u>	Number
Evaluated By <u>F. LAMB</u> <u>J. JAVIER</u>		Date <u>9-14-09</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date _____
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	Commander's Review _____ Date _____
1. COMMAND INVOLVEMENT	Evaluated <u>9-14-09</u>	Action Required <u>NO</u>

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?

☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force?

☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics?

☒ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?

ACTION NOTE ONLY  
☒ Yes    ☒ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?

☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?

☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?

☒ Yes    ☐ No

(a) Do they attend officer safety training sessions?

☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons?

N/A    ☐ Yes    ☐ No

2. TRAINING AND CERTIFICATION

Evaluated <u>9-14-09</u>	Action Required <u>NO</u>	Corrected
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a. Do training records indicate formal training has been received and certified?

☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: AREA 957-2ND QTR 2009 - 6 DATES

(a) Searching techniques?

☒ Yes    ☐ No

(b) Handcuffing?

☒ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>RE-SCHEDULE IF NEEDED</i>		
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>FOR FUTURE TRAINING PURPOSES</i>		
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>DETAILED COMMENTS</i>		
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>NO ISSUES 2008-09</i>		
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>SEPARATE REVIEW</i>		
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>OST (2 WEEK)</i>		
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>SGT. S. TOTH</i>		
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>DENOVAN, CALTRANS, CAMP EMMETT</i>		
(4) What is the quality and quantity of the training being given?	<i>QUALITY IS VERY GOOD &amp; QUANTITY IS GOOD ALSO CONSIDERING THE 12 HR. SHIFT SCHEDULE</i>	
(5) Have the supervisor and his/her alternate received proper training?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<i>SGT. TOTH IS NO OST JUST TRAINING</i>		

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated	Action Required	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	8-14-09	N/A	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	OFFICERS WELL VERSED		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?	OFC. NEWELL OFC. CONTRERAS		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?	DEMO w/ RED BOTTOM		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	DEMO		<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?	DEMO		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?	OST CERTIFICATION		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?	ASP 05-0090		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	CARRY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	N/A 2008-2009		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated	Action Required	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with?	8-14-09	NO	
(1) Do officers thoroughly understand the policy?	QUARTERLY INDIVIDUAL POLICY REVIEW		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are shoots conducted as required by policy?	8 MONTHS SCHEDULE TO DATE		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Does the Area have a weapons training officer?	H		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Has the officer completed Academy training for weapons training officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Does the officer supervise all shoots?	W/ SAFETY OFFICER		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is the officer well-organized in his/her training?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Is there a designated alternate to the weapons training officer?	FOUR TRAINED		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Has that officer received Academy training?	FOUR TRAINED		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	DONOVAN RANGE ELIMINATED - DEFERRING		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(b) Have future range needs been considered?	REGIONAL FIREARMS CENTER		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have shotguns been inventoried as required?	63 ANNUALLY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all shotguns accounted for?	(2) LESS LETHAL		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required?	42 ANNUALLY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all tactical rifles accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Is ammunition only issued at the range?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(e) Is there an effective method for daily assignment and control?	TATRON UNIT DECKED		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



# AREA MANAGEMENT EVALUATION

Chapter 17

## OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<i>REQUISITION</i> <i>QUARTERLY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<i>YES EXACT</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<i>ACCURATE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<i>REQUISITION IN</i> <i>AMMO SUPPLY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<i>EXACT DATA</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<i>OTS/ETES + HAND COPY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?				<i>RANGER OTC - CARRINGTON</i> <i>ARMED OTC - ALBERTA</i> <i>SEP. SEP. TOTAL</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?				<i>SEP. TOTAL</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?				<i>N/A</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If RP handles ammunition, are proper accountability procedures in place?				<i>N/A</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual 118?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
5. PHYSICAL METHODS OF ARREST	Evaluated	Action Required	Corrected			
	<i>8-14-08</i>	<i>NO</i>				
a. Do officers practice weaponless defense?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:				<i>OFC. NEWBORN</i> <i>OFC. CARRINGTON</i>		
(1) Control holds?				<i>REVIEWED DURING TRAINING</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons?				" "	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(10) Carotid hold? <i>Note: The use of the Carotid hold is temporarily suspended.</i>					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are officers following guidelines for the carotid hold as listed in policy?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has use of excessive force awareness training been conducted?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Were observations of practical handcuffing techniques made?				<i>OFC. NEWBORN</i> <i>OFC. CARRINGTON</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated 8-14-09	Action Required NO	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<i>DURING TRAIN</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all-times?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<i>QUARTERLY REVIEW-TRAINING</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated 8-14-09	Action Required NO	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<i>D. YARENZUELA #15807</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

(1) Are any written agreements on file?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is Division involved in the planning process?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>8. FORCIBLE STOPS</b>	Evaluated 8-14-09	Action Required NO
a. Are Area personnel knowledgeable regarding the policy on forcible stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the Area follow departmental policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have forcible stop reports been reviewed for compliance with policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>9. ROADBLOCKS</b>	Evaluated 8-14-09	Action Required NO
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Are strategic points and personnel assignments outlined?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Have the officers received instructions on the proper methods of establishing roadblocks?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Have interagency training sessions been conducted?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>10. RADIO FAMILIARIZATION</b>	Evaluated 8-14-09	Action Required NO
a. Are officers familiar with all aspects of the radio control head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Can officers efficiently operate all emergency equipment from the radio head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

WINTERHAVEN Area 620	BORDER Division 601	Number
Evaluated By J. CATE P. LIMA		Date 2-21-08

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date	
<input checked="" type="checkbox"/> Formal Evaluation	<input type="checkbox"/> Informal Evaluation		
Follow-up Required	<input type="checkbox"/> Correction Report		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	by		
Commander's Review		Date	
1. COMMAND INVOLVEMENT		Evaluated 2-21-08	Action Required NO

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? ☒ Yes ☐ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes ☐ No

(a) Do they attend officer safety training sessions? *COMMANDER ATTENDS ALL OST DAYS* ☒ Yes ☐ No

(b) If they are not involved in officer safety, what are the reasons? *N/A* ☐ Yes ☐ No

2. TRAINING AND CERTIFICATION	Evaluated 2-21-08	Action Required NO	Corrected
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a. Do training records indicate formal training has been received and certified? ☒ Yes ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: *CHP 199 CERTIFICATION NOV AND DEC 07*

(a) Searching techniques? ☒ Yes ☐ No

(b) Handcuffing? ☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated 2-21-08	Action Required NO	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	N/A 2007		<input type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	N/A 2007		<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance? OFFICER ERIC PRICE			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated	Action Required	Corrected
	2-21-08	NO	
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	DURING SCENARIO BASED TRAINING		
(a) Is the violator stop effectively made?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is the violator completely controlled?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

7. PURSUITS	Evaluated	Action Required	Corrected
	2-21-08	NO	
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Number of units?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When to discontinue?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**WINTERHAVEN AREA**  
February 21, 2008

**WINTERHAVEN AREA**  
**CHAPTER 17**  
Page 1 of 5

ITEM

**1      COMMAND INVOLVEMENT**

- 1A      The Commander, Lieutenant S. Roberson, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, and officer safety training. Lt. Roberson makes every effort to attend all Officer Safety Training days at his area.

**2      TRAINING AND CERTIFICATION**

- 2A      The Training Officer (J. Miller) maintains an anniversary roster of all uniformed personnel. The Area scheduled the annual CHP 199 certification during November and December 2007. Officer Miller scheduled all uniformed personnel to attend the annual certification training. A review of the CHP 199 forms indicate that all officers and sergeants are current. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B      A random sampling of 29 CHP 100 forms were reviewed and revealed that 15 contained comments regarding officer safety tactics observed in the field, three had occupational safety comments and the remainder had no tips or comments regarding officer safety. Numerous CHP 100 forms reviewed contained "Driver Ride-Along Work Sheets." The worksheet is a very comprehensive document which did contain comments specific to officer safety observed during the Supervisory Ride-Alongs.
- 2C      Training records indicated that all personnel are proficient and did not require remedial training.
- 2D      Area maintains one OST/PMA instructors. The instructor is Officer C. Boudreaux. There are two officers interested and will be certified at the next possible certification class. Sergeant J. Swaim is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
WINTERHAVEN AREA**

February 21, 2008

**3     SAFETY EQUIPMENT**

- 3A     All officers are certified in the OC/pepper spray. Officers R. Casares and O. Godinez were questioned regarding the use of the OC spray, decontamination and limitations. They were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (500 ml) in the trunk box.
- 3B-D   Officers ~~R. Casares~~ and ~~O. Godinez~~ were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed good proficiency with their holsters and loading devices. Both officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. In addition, the officer's equipment was in good condition.
- 3E     Officers ~~R. Casares~~ and ~~O. Godinez~~ stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer J. Miller is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F     Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers Eric Price and Todd Curtis are responsible for the weapon inspections.

**4     FIREARMS**

- 4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. Officer J. Miller has prepared a Policy Binder for each individual officer. Each officer is issued their specific binder to read, review and sign each quarter. Officers R. Casares and O. Godinez were questioned on their knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.
- 4B     A review of the OTS "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during 12 months scheduled during 2007. The average monthly pistol shoots attended during 2007 by Area personnel is 10. The above average shoots attended by area personnel are indicative of the commander's view on officer safety. It is also a reflection on the Training Coordinator, Training Sgt. and Range Officers diligence in ensuring the area follows departmental policy on range attendance.
- 4C     Officers E. Price and T. Curtis coordinate the Academy qualified weapons training program. Currently, there are no alternates for the weapons training program. Sgt. J. Swaim is the designated range supervisor responsible for the area weapons training program.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
WINTERHAVEN AREA**

February 21, 2008

4D The facility, Quechan Tribal Police Range, is the range for the Winterhaven Area. This range meets the needs to facilitate all required shoots as described in policy. Winterhaven Area has a verbal agreement with the Quechan Tribal Police and there are no plans with finding an alternate range.

4E The Area's ammunition is kept in a locked locker; the locker is located in a "Weapons Maintenance room." The locker can be accessed by Sgt. Swaim with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy.

The Area does have a procedure in place to periodically audit the ammunition by Sergeant Swaim and a subsequent memorandum is forwarded to Lieutenant Roberson.

4F The clearing tube is located at the rear entrance to the Area office. Officers [REDACTED] and [REDACTED] were observed utilizing the clearing tube to empty and charge their weapons.

4G The weapons training records were checked and no discrepancies were noted.

4H The Area has a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is more than adequate. The current procedure includes an Ammunition Officer (J. Miller), Range Officer E. Price, and a Supervisor (Sgt. J. Swaim) which ensures a thorough and systematic ammunition inventory process.

**5 PHYSICAL METHODS OF ARREST**

5A Officers [REDACTED] and [REDACTED] were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

5B-D Officers [REDACTED] and [REDACTED] were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
WINTERHAVEN AREA**

February 21, 2008

**6     ENFORCEMENT TACTICS**

- 6A     The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a very good working knowledge of the force continuum.

**7     PURSUIITS**

- 7A     The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.
- 7B     The area has written guidelines in place to ensure proper coordination with allied agencies. Due to the geographic boundaries with this area, they also have guidelines with the county of Yuma in the state of Arizona, as well as adjoining California allied agencies. The commander also attends regular quarterly Select Council on Progressive Enforcement (SCOPE) meetings.

**CONCLUSION**

The Chapter 17 Inspection conducted at the Winterhaven Area Office by Officer Jay Cate.

AREA Callexico IF	DIVISION Border	NUMBER 626
EVALUATED BY B. King #13098		DATE 08/04/2009

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed on the CHP 454, Area Management Evaluation Supplement. The Supplement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Supplement can be handwritten if desired.

TYPE OF EVALUATION <input type="checkbox"/> Formal Evaluation <input checked="" type="checkbox"/> Informal Evaluation		SUSPENSE DATE	
FOLLOW-UP REQUIRED <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		COMMANDER'S REVIEW <i>R.A. SL</i>	DATE 8-21-09
BY _____			

### 1. COMMAND INVOLVEMENT

	EVALUATED Yes	ACTION REQUIRED	CORRECTED
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Does the commander stress importance of proper enforcement tactics, including use of force?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Does the safety record of the command reflect an awareness of proper tactics?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Do the officers' CHP 100 and CHP 118s, Performance Appraisals, contain comments on officer safety?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force, and the correct use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do they attend officer safety training sessions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) If they are not involved in officer safety, what are the reasons?			

### 2. TRAINING AND CERTIFICATION

	EVALUATED Yes	ACTION REQUIRED	CORRECTED
a. Do training records indicate formal training has been received and certified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: <i>Annual cert 12/08</i>			
(a) Searching techniques.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Handcuffing.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Use of safety equipment.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(d) Suspect control.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(e) High risk and felony stops.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(f) Hostage control.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(g) Prisoner transportation.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(h) Radio control head operation.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

**AREA MANAGEMENT EVALUATION**

**OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

(2) Is the command dedicating enough time toward training?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Do Area supervisors review CHP 121s, CHP 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Does an examination of CHP 100, CHP 118s, and citizen complaints indicate a through review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
d. Does the command have an adequate number of instructors?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Has an individual been given responsibility for the program?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4) What is the quality and quantity of the training being given?	QUALITY OK, QUANTITY NOT SO GOOD. NEED MORE TRAINING, SO FAR OK ONLY, QUANTITY DOWN.		
(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
<b>3. SAFETY EQUIPMENT</b>	<b>EVALUATED</b> Yes	<b>ACTION REQUIRED</b>	<b>CORRECTED</b>
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on duty, in uniform?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, re-holster and without looking at the holster, fasten the safety strap with one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers and sergeants draw and fire their weapons within one and a half seconds, using one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Do all uniformed personnel wear body armor?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were required reports submitted to Supply Services Unit, per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case, and OC spray projectors inspected in conjunction with the annual performance appraisal?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>4. FIREARMS</b>	EVALUATED Yes	ACTION REQUIRED CORRECTED
a. Has the requirement for quarterly review of policy regulating discharge of firearms been compiled with?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers thoroughly understand the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are shoots conducted as required by policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, day/night shoots, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Does the Area have a range officer?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the officer completed Academy training for range officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the officer supervise all shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is the officer well-organized in his/her training?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Is there a designated alternate to the range officer?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Has that officer received Academy training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

d. Are range facilities adequate for pistol, rifle, shotgun and night shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Have future range needs been considered?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Is an effective and efficient inventory process for shotguns, rifles, and ammunition in place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have shotguns been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all shotguns accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all tactical rifles accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is there adequate storage when the weapons are not being carried by on-duty officers?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Is there an effective method for assignment and control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken? <i>QUARTERLY Insp. SUSPENDED BY CNDY.</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted, and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not to be drawn, loaded, or unloaded except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept updated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270 and in ETRS?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or backup employee?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?		
(1) If RP handles ammunition, are proper accountability procedures in place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual CHP 118?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

5. PHYSICAL METHODS OF ARREST	EVALUATED Yes	ACTION REQUIRED	CORRECTED
a. Do officers practice weaponless defense?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?			<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:			
(1) Control holds.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Punches.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Strikes.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Blocks.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(5) Defensive kicks.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(6) Defenses against grabs.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(7) Defenses against weapons.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(8) Ground defense and takedowns.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles.			<input type="checkbox"/> Yes <input type="checkbox"/> No

c. Were observations of practical handcuffing techniques made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone, or uncooperative?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6. ENFORCEMENT TACTICS</b>	<b>EVALUATED</b> Yes	<b>ACTION REQUIRED</b>  <b>CORRECTED</b>
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five options of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of pre-planning and coordination with allied agencies to prepare beat officers for hostage situations?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required, and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>7. PURSUITS</b>	<b>EVALUATED</b> Yes	<b>ACTION REQUIRED</b>  <b>CORRECTED</b>
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

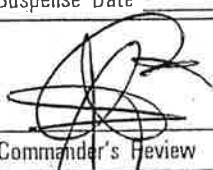
CHP 453S (Rev. 6-06) OPI 009

(1) Are any written agreements on file?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Is Division involved in the planning process?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>8. FORCIBLE STOPS</b>	EVALUATED Yes	ACTION REQUIRED CORRECTED
a. Are Area personnel knowledgeable regarding the policy on forcible stops?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Does the Area follow departmental policy?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Have forcible stop reports been reviewed for compliance with policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>9. ROADBLOCKS</b>	EVALUATED Yes	ACTION REQUIRED CORRECTED
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) Are strategic points and personnel assignments outlined?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Have the officers received instructions on the proper methods of establishing roadblocks?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Have interagency training sessions been conducted?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>10. RADIO FAMILIARIZATION</b>	EVALUATED Yes	ACTION REQUIRED CORRECTED
a. Are officers familiar with all aspects of the radio control head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Can officers efficiently operate all emergency equipment from the radio head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

ED CENTER Area <u>625</u>	BRIDGE Division <u>601</u>	Number
Evaluated By <u>F. LAMM</u> <u>J. CATE</u>		Date <u>3-17-08</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date	
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	 Commander's Review Date <u>4/18/08</u>	
1. COMMAND INVOLVEMENT		Evaluated <u>3-17-08</u>	Action Required <u>NO</u>

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? ☒ Yes    ☐ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes    ☐ No

(a) Do they attend officer safety training sessions? ☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A ☐ Yes    ☐ No

2. TRAINING AND CERTIFICATION	Evaluated <u>3-17-08</u>	Action Required <u>NO</u>	Corrected
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a. Do training records indicate formal training has been received and certified? ANNUAL  
FEB/JUN 07 ☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:

(a) Searching techniques? ☒ Yes    ☐ No

(b) Handcuffing? ☒ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated	Action Required	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	3-17-08	NO	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?	GOOD	SERGEANT	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?		TRANSITION	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?		DURING TRAINING	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?		ASP-10070	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)? <i>CHP 416</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

*GOOD RANGE SYSTEM*

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6. ENFORCEMENT TACTICS</b>	Evaluated <i>3-17-08</i>	Action Required <i>NO</i>
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<i>DURING AREA TRAINING</i>	
	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>7. PURSUITS</b>	Evaluated <i>3-17-08</i>	Action Required <i>NO</i>
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**EL CENTRO AREA**  
March 17, 2008

**EL CENTRO AREA**  
**CHAPTER 17**

Page 1 of 4

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain R. Jones, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Captain R. Jones makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (H. Tavares) maintains an anniversary roster of all uniformed personnel. Officer H. Tavares schedules Area OST/PMA Training which includes practical training for all uniformed personnel throughout the year, as well as providing review during quarterly training days. In 2007, the personnel were scheduled to attend Area OST/PMA Training on two dates (Feb. & Jun.). The training includes practical application and annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. Area OST/PMA Training includes a practical and safe "hands-on" training environment. The training goal is to provide a continued review of techniques, policy, and the use of proper enforcement tactics.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Forty CHP 100 forms were reviewed; only three had comments regarding officer safety tactics observed in the field. However, all of the CHP 100 forms did include documentation of "safety tips" and/or "occupational safety tips." It was noted that a few of the forms did have attached "Ride-Along" forms with documentation of officer safety observations and safety tips. Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**EL CENTRO AREA**  
March 17, 2008

**EL CENTRO AREA**  
**CHAPTER 17**

Page 2 of 4

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains three OST/PMA instructors. The instructors are Officers D. Davis, A. Zendejas, and H. Tavares. Sergeant A. Fleck is currently responsible for the OST/PMA program and has been designated the Training Supervisor since January 1, 2007. The number of instructors is appropriate and the Area's equipment is adequate.

3 SAFETY EQUIPMENT

- 3A All officers are certified in the OC/pepper spray. Officers [REDACTED] and [REDACTED] were questioned regarding the use of the OC spray, decontamination and limitations. The officers were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D The officers were asked to demonstrate their proficiency in PMA and OST. The officers were familiar with the specific PMA techniques and showed good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 90% of the Area is ASP certified. In addition, the officers' equipment was in good condition.
- 3E The officers stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests.
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers W. Boerner, D. Davis, and A. Zendejas are responsible for weapons inspections and are Academy qualified.

4 FIREARMS

- 4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes an individual "Policy Review" binder with a completion "sign-off" by all uniformed personnel. The officers were questioned on their knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**EL CENTRO AREA**

March 17, 2008

**EL CENTRO AREA**

**CHAPTER 17**

Page 3 of 4

- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during eleven months scheduled in 2007. A range date was not scheduled during the month of August 2007 due to extremely hot weather. The Area regularly schedules one range date per month. The average monthly pistol shoots attended during 2007 by Area personnel is eight (7.5) through eleven months. The average monthly shoots credited per employee are approximately ten (10.4). This adequate average is a cumulative calculation of twenty-eight personnel and does not account for management, limited duty, 4800 time, or military leave personnel.
- 4C Officer A. Zendejas coordinates the Academy qualified weapons training program (*range*). Officers A. Zendejas, W. Boerner, L. Armendariz, and D. Davis are all Academy qualified weapons training officers that assist with scheduled range duties on a regular basis. Officer L. Armendariz is the designated alternate to the weapons training officers and is Academy qualified.
- 4D The range facility, Centinella State Prison, is the range for the El Centro Area. This range meets the needs to facilitate all required shoots as described in policy. Per Mapquest, the Centinella State Prison is approximately 15 miles and twenty-five minutes travel distance one-way from the El Centro Area.
- 4E The Area's ammunition is kept in a locked wall locker; the locker is located in the "*Clerical Supervisors Office*" (Ronna Graham) inside the main building. The ammo locker can only be accessed by Ammunition Officer, R. Bird. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. Area does have a current procedure in place to quarterly audit the ammunition inventory which does include a memorandum that is forwarded to the commander for review. All procedures in place are compliance with policy/government code.
- 4F The clearing tube is located at the rear entrance to the Area office. The officers were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer H. Tavares has maintained a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**EL CENTRO AREA**  
March 17, 2008

**EL CENTRO AREA**  
**CHAPTER 17**

Page 4 of 4

4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The current procedure includes an Ammunition Officer (H. Tavares), and Range Officers (A. Zendejas/D. Davis). Sergeant's M. Kirchof and A. Fleck were responsible for overseeing the process during 2007 to ensure a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

5A The officers were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

5B-D The officers were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. In addition, the officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUIITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated a good, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. In addition, the Area utilizes a type of "sign-off" review of policy during all quarters of the year to assure accountability by uniformed employees.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Cate on March 17, 2008. Captain R. Jones and Officer H. Tavares were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**WINTERHAVEN AREA**  
September 17, 2009

**WINTERHAVEN AREA**  
**CHAPTER 17**

Page 1 of 4

ITEM

1     COMMAND INVOLVEMENT

- 1A    The Commander, Lieutenant J. Swaim, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Lieutenant Swaim makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2     TRAINING AND CERTIFICATION

- 2A    The Training Officer (A. Campos) maintains an anniversary roster of all uniformed personnel. The Area scheduled the annual CHP 199 (OFFICER SAFETY CERTIFICATION) during December 2008. A review of the CHP 199 forms indicates that all officers and sergeants are current. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B    A random sampling of 20 CHP 100 forms were reviewed and revealed that 15 comments regarding officer safety tactics were observed in the field and specifically noted. In addition, CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Some of the forms did have attached "Ride-A-Along" forms with documentation of officer safety tactic observations and safety tips.
- 2C    Training records indicated that all personnel are proficient and did not require remedial training.
- 2D    Area maintains two OST/PMA certified instructors. The two instructors have completed the OST (2-week) Instructor Course. The instructors are Officers B. Curtis, and S. Alvarez. Sergeant D. Nunez is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.
- 3     SAFETY EQUIPMENT
- 3A    All officers are certified in the OC/pepper spray. Officer A. Campos ensured that the patrol cars are checked regularly to confirm they contain saline bottles (1000 ml) in the trunk box.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**WINTERHAVEN AREA**  
September 17, 2009

**WINTERHAVEN AREA**

**CHAPTER 17**

Page 2 of 4

- 3B-D Officer A. Campos stated that all officers are proficient in PMA. The Area reviews the specific PMA, PR-24, and ASP baton techniques and policy. Uniformed personnel are proficient with the duty holsters and loading devices. In addition, all uniformed personnel equipment is in good condition and inspected annually.
- 3E All officers were observed wearing their protective vests. In addition, Officer A. Campos is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states *"soft body armor shall be replaced within five years from the date of manufacture."*
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers T Curtis, S. Gronbach, and E. Price are responsible for weapons inspections and Academy qualified.
- 4 **FIREARMS**
- 4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes a "Policy Review" binder with a completion "sign-off" by all uniformed personnel.
- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during eight (Jan. - Aug.) months scheduled in 2009. The average monthly pistol shoots attended during 2009 by Area personnel is seven (7.39). The average credited monthly pistol shoots per employee are eight (7.60). This very high average is cumulative for all personnel from Area 620 and is an outstanding reflection of the dedication to this very important training component.
- 4C Officers T. Curtis, S. Gronbach, and E. Price coordinate the Academy qualified weapons training program. Sergeant D. Nunez is the designated alternate to the weapons training officers.
- 4D The range facility, Quechan Tribal Police Range, is the contracted range for the Winterhaven Area. This range meets the needs to facilitate all required shoots as described in policy. Winterhaven Area has a verbal agreement with the Quechan Tribal Police and there are no plans with finding an alternate range.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
WINTERHAVEN AREA**

September 17, 2009

**WINTERHAVEN AREA**

**CHAPTER 17**

Page 3 of 4

- 4E The Area's ammunition is kept in a locked locker; the locker is located in a "Weapons Maintenance room." The locker can be accessed by Ammunition Officer, Officer A. Campos and Sergeant D. Nunez with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically (quarterly) audit the ammunition by Officer A. Campos and a subsequent memorandum is prepared and forwarded to Lieutenant J. Swaim for review.
- 4F The clearing tube is located at the rear entrance to the Area office. Officers S. Gronbach and M. Curtis were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer A. Campos maintains a very organized training records system with the utilization of the OTS (ETRS) and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The current procedure includes an Ammunition Officer (A. Campos), Range Officer (E. Price), and a Supervisor (Sergeant D. Nunez) which ensures a thorough and systematic ammunition inventory process. The Area follows policy which includes Government Code Section 13403.

**5 PHYSICAL METHODS OF ARREST**

- 5A Officers ~~S. Gronbach~~ and ~~M. Curtis~~ were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.
- 5B-D Officers ~~S. Gronbach~~ and ~~M. Curtis~~ were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

**BORDER DIVISION  
CHAPTER 17 INSPECTION  
WINTERHAVEN AREA**

September 17, 2009

**WINTERHAVEN AREA**

**CHAPTER 17**

Page 4 of 4

**6 ENFORCEMENT TACTICS**

- 6A Officers S. Gronbach and M. [REDACTED] were questioned about the force continuum and given scenarios to provide an application of policy. The officers showed a good working knowledge of the force continuum.

**7 PURSUIT**

- 7A Officer S. Gronbach and [REDACTED] is were given scenarios dealing with pursuit and forcible stops policy. The officers demonstrated an excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. In addition, all uniformed personnel have completed a factory training course on the use, handling and instruction of Stinger Spike Systems presented by "Stinger" Spike Systems.

**CONCLUSION**

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on September 17, 2009. Lieutenant Commander J. Swaim, Sergeant L. Domby and Officers A. Campos and E. Price were present during the post evaluation discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

WINTERHAVEN BORDER DIVISION	
Area 620	Division 601
Number	
Evaluated By FLAME J. JAVIER	Date 09/17/2009

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date	
<input checked="" type="checkbox"/> Formal Evaluation	<input type="checkbox"/> Informal Evaluation		
Follow-up Required	<input type="checkbox"/> Correction Report		
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	by		
		Commander's Review	Date
			10-9-09
1. COMMAND INVOLVEMENT		Evaluated	Action Required
		09/17/2009	NO
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do they attend officer safety training sessions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) If they are not involved in officer safety, what are the reasons?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
		ATTENDS ALL CST DAYS	
2. TRAINING AND CERTIFICATION		Evaluated	Action Required
		09/17/2009	NO
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:		CHP 199 CERTIFICATION 10/2008	
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors? <i>3 INSTRUCTORS</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program? <i>ISRAEL AND NUNEZ</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) What is the quality and quantity of the training being given? <i>SEE NARRATIVE</i>		
(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>09/14/2009</i>	Action Required <i>No</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated 07/11/2009	Action Required NO	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with? <i>EACH OFFICER HAS A POLICY BINDER TO READ/SIGN</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do officers thoroughly understand the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do incidents involving firearms show proper understanding of the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are shoots conducted as required by policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have steps been taken to correct training deficiencies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Are weapons training and maintenance records readily available? Current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Does the Area have a weapons training officer?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Has the officer completed Academy training for weapons training officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Does the officer supervise all shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Is the officer well-organized in his/her training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4) Is there a designated alternate to the weapons training officer? <i>E. PRICE, S. GONZALEZ, T. CURTIS</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Has that officer received Academy training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) If not, has alternate training been established and plans developed to obtain adequate facilities? <i>QUECHAN TRIBAL POLICE RANGE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do plans follow instructions for range contract renegotiations? <i>VERBAL AGREEMENT</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(b) Have future range needs been considered?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place? <i>YES</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have shotguns been inventoried as required? <i>12 2 H&amp;S-RIFLES 2 DUMMIES</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all shotguns accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Are shotguns fired annually to ensure operable condition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Have tactical rifles been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all tactical rifles accounted for? <i>13 RIFLES</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Is ammunition only issued at the range?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(e) Is there an effective method for daily assignment and control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<i>DAILED AND RECALCULATED</i>		
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

## Chapter 17

### OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory? *SEE NARRATIVE*

☐ Yes ☐ No

(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition? *SEE NARRATIVE*

☐ Yes ☐ No

(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?

☒ Yes ☐ No

i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers? *N/A*

☐ Yes ☐ No

(1) If RP handles ammunition, are proper accountability procedures in place? *N/A*

☐ Yes ☐ No

j. Are required inspections conducted in conjunction with the annual 118?

☒ Yes ☐ No

(1) Is a second inspection of the primary firearm conducted every six months?

☒ Yes ☐ No

#### 5. PHYSICAL METHODS OF ARREST

Evaluated

*10/17/2009*

Action Required

*NO*

Corrected

a. Do officers practice weaponless defense?

☒ Yes ☐ No

(1) Are officers familiar with the opponent's five weakest points?

☒ Yes ☐ No

(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense? *N/A 2006*

☐ Yes ☐ No

b. Were demonstrations of the following control techniques by officers observed: *NOT TRAINING DAYS*

(1) Control holds?

☒ Yes ☐ No

(2) Punches?

☒ Yes ☐ No

(3) Strikes?

☒ Yes ☐ No

(4) Blocks?

☒ Yes ☐ No

(5) Defensive kicks?

☒ Yes ☐ No

(6) Defenses against grabs?

☒ Yes ☐ No

(7) Defenses against weapons?

☒ Yes ☐ No

(8) Ground defense and takedowns?

☒ Yes ☐ No

(9) Placing and removing suspects into and from vehicles?

☒ Yes ☐ No

(10) Carotid hold? *DEADLY FORCE ONLY* Note: The use of the Carotid hold is temporarily suspended.

☐ Yes ☐ No

(a) Are officers following guidelines for the carotid hold as listed in policy?

☒ Yes ☐ No

(b) Has use of excessive force awareness training been conducted?

☒ Yes ☐ No

c. Were observations of practical handcuffing techniques made?

☒ Yes ☐ No

(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative? *CHP 199*

☒ Yes ☐ No

(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?

☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6. ENFORCEMENT TACTICS</b>	Evaluated 09/17/2009	Action Required NO
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>7. PURSUITS</b>	Evaluated 09/17/2009	Action Required NO
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

## OFFICER SAFETY

(1) Are any written agreements on file?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is Division involved in the planning process?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>8. FORCIBLE STOPS</b>	Evaluated 09/17/2009	Action Required NO
a. Are Area personnel knowledgeable regarding the policy on forcible stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the Area follow departmental policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have forcible stop reports been reviewed for compliance with policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>9. ROADBLOCKS</b>	Evaluated 09/17/2009	Action Required NO
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are strategic points and personnel assignments outlined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have the officers received instructions on the proper methods of establishing roadblocks?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Have interagency training sessions been conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>10. RADIO FAMILIARIZATION</b>	Evaluated 09/17/2009	Action Required NO
a. Are officers familiar with all aspects of the radio control head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Can officers efficiently operate all emergency equipment from the radio head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
September 15, 2009

**RAINBOW I. F.**  
**CHAPTER 17**

Page 1 of 4

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Lieutenant B. Specht, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Lieutenant B. Specht makes every effort to attend all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (C. Barres) maintains an anniversary roster of all uniformed personnel. Officer C. Barres incorporates OST/PMA training review during quarterly training days (October). There are two annually scheduled Officer Safety Training (OST) days in December when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, all uniformed personnel have been certified. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.

- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Due to the fact that the Area is an inspection facility, it is on a rare occasion that officers are in a marked CHP unit and on road patrol. CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Some of the forms did have attached "Ride-A- Along" forms with documentation of officer safety tactic observations and safety tips. Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
September 15, 2009

**RAINBOW I. F.**  
**CHAPTER 17**

Page 2 of 4

- 2D Area maintains two OST/PMA certified instructors. The two instructors have completed the OST (2-week) Instructor Course. The instructors are Officers C. Barres and A. Berain. Sergeant R. Inman is responsible for the OST/PMA program. The number of instructors is appropriate and the Area's equipment is adequate.
- 3 **SAFETY EQUIPMENT**
- 3A All officers are certified in the OC/pepper spray. Officer Barres ensured that the patrol cars are checked regularly to confirm they contain saline bottles (1000 ml) in the trunk box.
- 3B-D Officer C. Barres stated that all officers are proficient in PMA. The Area reviews the specific PMA, PR-24, and ASP baton techniques and policy. Uniformed personnel are proficient with the duty holsters and loading devices. In addition, the all uniformed personnel equipment is in good condition and inspected annually.
- 3E All officers were observed wearing their protective vests. In addition, Officer C. Barres is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers C. Barres, J. Garcia, and E. Lepro are responsible for weapons inspections and Academy qualified.
- 4 **FIREARMS**
- 4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. In addition, the Area utilizes a "Policy Review" binder with a completion "sign-off" by all uniformed personnel.
- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during eight (Jan. - Aug.) months scheduled in 2009. The average monthly pistol shoots attended during 2009 by Area personnel is seven (7.22). The average credited monthly pistol shoots per employee are 8 (7.81). This very high average is cumulative for all personnel from Area 686 and is an outstanding reflection of the dedication to this very important training component.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**

September 15, 2009

**RAINBOW I. F.**  
**CHAPTER 17**

Page 3 of 4

- 4C Officers C. Barres, J. Garcia, and E. Lepro coordinate the Academy qualified weapons training program. Sergeant R. Inman is the designated the alternate to the weapons training officers.
- 4D The range facility, Pala Range, is the contracted range for the Rainbow I. F. office. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked locker; the locker is located in the "Explosives Room." The locker can be accessed by Ammunition Officer, Officer S. Flores and Sergeant R. Inman with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically (quarterly) audit the ammunition by Officer S. Flores and a subsequent memorandum is prepared and forwarded to Lieutenant B. Specht for review.
- 4F The clearing tube is located outside the main building, adjacent to "Inspection Bay #3", within an enclosed area lined with a chain linked fence.
- 4G The weapons training records were checked and no discrepancies were noted. Officer C. Barres maintains a very organized training records system with the utilization of the OTS (ETRS) and back-up hard copies of all training received by personnel. In addition, Officer C. Barres has created and incorporated computerized forms (attached) for various training items. This is an outstanding reflection of Officer C. Barres skill and dedication to his current assignment as the Training Coordinator.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The current procedure includes an Ammunition Officer (S. Flores), Range Officer (C. Barres), and a Supervisor (Sergeant R. Inman) which ensures a thorough and systematic ammunition inventory process. The Area follows policy which includes Government Code Section 13403.
- 5 PHYSICAL METHODS OF ARREST
- 5A The five most vulnerable areas of an attacker are reviewed by personnel during OST/PMA training throughout the year.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**RAINBOW INSPECTION FACILITY**  
September 15, 2009

**RAINBOW I. F.**  
**CHAPTER 17**  
Page 4 of 4

5B-D Officers ~~S. Howland~~ and ~~J. Hancock~~ were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A Officers ~~S. Howland~~ and ~~J. Hancock~~ were questioned about the force continuum and given scenarios to provide an application of policy. The officers showed a good working knowledge of the force continuum.

7 PURSUITS

7A Officer ~~S. Howland~~ and ~~J. Hancock~~ were given scenarios dealing with pursuit and forcible stops policy. The officers demonstrated an excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. In addition, all uniformed personnel have completed a factory training course on the use, handling and instruction of Stinger Spike Systems presented by "Stinger" Spike Systems.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on September 15, 2009. Lieutenant Commander B. Specht, Sergeant R. Inman, and Officer C. Barres were present during the post evaluation discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>RAINBOW</u>	Division <u>BORDER</u>	Number
Evaluated By <u>F.P. LAMB</u> <u>J. JAVIER</u>		Date <u>09/13/09</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date
<input checked="" type="checkbox"/> Formal Evaluation	<input type="checkbox"/> Informal Evaluation	
Follow-up Required	<input type="checkbox"/> Correction Report	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	by	
Commander's Review <u>X</u>		Date <u>10/13/09</u>

1. COMMAND INVOLVEMENT

Evaluated	Action Required	Corrected
<u>09-15-09</u>	<u>NO</u>	

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?

☒ Yes ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force?

☒ Yes ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics?

☒ Yes ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? REFER ITEM 2B IN NARRATIVE

☐ Yes ☒ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?

☒ Yes ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?

☒ Yes ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?

☒ Yes ☐ No

(a) Do they attend officer safety training sessions?

☒ Yes ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A

☐ Yes ☐ No

2. TRAINING AND CERTIFICATION

Evaluated	Action Required	Corrected
<u>09-15-09</u>	<u>NO</u>	

a. Do training records indicate formal training has been received and certified?

☒ Yes ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: ANNUAL SCHEDULED DEC. 2008 - NOV. 2009

(a) Searching techniques?

☒ Yes ☐ No

(b) Handcuffing?

☒ Yes ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<i>RED/BLUE 8 YEAR REVIEW</i>	
(h) Radio control head operation?	<i>NEW FREQUENCY TRAINING</i>	
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<i>SCHEDULE ANNUALLY</i>	
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<i>REFER TO SUMMARY</i>	
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<i>IF APPLICABLE</i>	
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<i>N/A</i>	
c. Is refresher training required prior to certification?	<i>REVIEW IN OCT. 2009</i>	
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	<i>OST (2 WEEK) TRAINED HARRIS &amp; PERAIN</i>	
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	<i>SGT. R. INMAN</i>	
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<i>EMPHASIS ON ORDERING OF EQUIP</i>	
(4) What is the quality and quantity of the training being given?	<i>QUALITY IS VERY GOOD W/ OST INSTRUCTOR TRAINED, QUANTITY IS PER POLICY 8 HOURS, W/ EXPECTATION TO EXCEED POLICY LIMITS</i>	
(5) Have the supervisor and his/her alternate received proper training?	<i>SGT. M. BRY</i>	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated	Action Required	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	09-15-09	NO	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	N/A 2008-09		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	PER POLICY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	685		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?	S. HOWARTH (GRANT!) J. HANCOCK D-1110		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?	S. HOWARTH (GRANT!) J. HANCOCK D-1110		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?	PER POLICY SBA WORK N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	N/A		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?	OUTSTANDING RECORDS		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated	Action Required	Corrected
	09-13-09	NO	
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with? <i>POLICY REVIEW BINDERS</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers thoroughly understand the policy? <i>W/ SIGN-OFF PER CTR</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are shoots conducted as required by policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.? <i>NIGHT- NOV + DEC 2009</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Does the Area have a weapons training officer? <i>BARRAS, GARCIA, LAPRO</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Has the officer completed Academy training for weapons training officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Does the officer supervise all shoots? <i>W/ SAFETY OFFICER</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is the officer well-organized in his/her training? <i>VERY</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Is there a designated alternate to the weapons training officer? <i>ONE OF THEM</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Has that officer received Academy training?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities? <i>NOT NEEDED AT THIS TIME</i>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Have future range needs been considered?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have shotguns been inventoried as required? <i>(4) 685 INVENTORY</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all shotguns accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required? <i>(3) 686 INVENTORY</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all tactical rifles accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Is ammunition only issued at the range?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(e) Is there an effective method for daily assignment and control?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	Are <i>SGT. R. INMAN</i> <i>OTC S. FLORES</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<i>ALARM SYSTEM</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<i>OTS/ETRS UPDATE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<i>CHP 416</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?

ANTONIO TRINIDAD  
Sgt. INMAN -  
OFC FLORES -  
OFC BARRAS -

☒ Yes ☐ No

(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?

☒ Yes ☐ No

(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?

☒ Yes ☐ No

i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?

☐ Yes ☒ No

(1) If RP handles ammunition, are proper accountability procedures in place?

☐ Yes ☐ No

j. Are required inspections conducted in conjunction with the annual 118?

☒ Yes ☐ No

(1) Is a second inspection of the primary firearm conducted every six months?

☒ Yes ☐ No

5. PHYSICAL METHODS OF ARREST

Evaluated

Action Required

Corrected

09-15-09

NO

a. Do officers practice weaponless defense?

☒ Yes ☐ No

(1) Are officers familiar with the opponent's five weakest points?

☒ Yes ☐ No

(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?

☒ Yes ☐ No

b. Were demonstrations of the following control techniques by officers observed:

SCHEDULED  
DURING AREA TRAINING

(1) Control holds?

☒ Yes ☐ No

(2) Punches?

☒ Yes ☐ No

(3) Strikes?

☒ Yes ☐ No

(4) Blocks?

☒ Yes ☐ No

(5) Defensive kicks?

☒ Yes ☐ No

(6) Defenses against grabs?

☒ Yes ☐ No

(7) Defenses against weapons?

☒ Yes ☐ No

(8) Ground defense and takedowns?

☒ Yes ☐ No

(9) Placing and removing suspects into and from vehicles?

☒ Yes ☐ No

(10) Carotid hold? Note: The use of the Carotid hold is temporarily suspended.

☒ Yes ☐ No

(a) Are officers following guidelines for the carotid hold as listed in policy?

☒ Yes ☐ No

(b) Has use of excessive force awareness training been conducted?

☒ Yes ☐ No

c. Were observations of practical handcuffing techniques made?

☒ Yes ☐ No

(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?

☒ Yes ☐ No

(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?

☒ Yes ☐ No

# AREA MANAGEMENT EVALUATION

Chapter 17

## OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<i>TEHACURA &amp; YUMA DETENTION</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated	Action Required	Corrected
	<i>09-15-09</i>	<i>NO</i>	

a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated	Action Required	Corrected
	<i>09-15-09</i>	<i>NO</i>	

a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<i>POLICY REVIEW BINDER</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<i>QUARTERLY</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<i>SPECIFIC TO CHP POLICY</i> <input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

- (1) Are any written agreements on file? ☐ Yes ☒ No
- (2) Is Division involved in the planning process? ☐ Yes ☒ No
- (3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command? ☐ Yes ☒ No

8. FORCIBLE STOPS	Evaluated	Action Required	Corrected
	09-15-09	NO	

- a. Are Area personnel knowledgeable regarding the policy on forcible stops? ☒ Yes ☐ No
- (1) Does the Area follow departmental policy? ☒ Yes ☐ No
- (2) Have forcible stop reports been reviewed for compliance with policy? *APPLY REVIEW POLICY* ☒ Yes ☐ No  
*N/A - 2008-09*
- (a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted? *N/A* ☐ Yes ☐ No

9. ROADBLOCKS	Evaluated	Action Required	Corrected
	09-15-09	NO	

- a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip? ☒ Yes ☐ No
- (1) Are strategic points and personnel assignments outlined? ☒ Yes ☐ No
- (2) Have the officers received instructions on the proper methods of establishing roadblocks? ☒ Yes ☐ No
- (3) Have interagency training sessions been conducted? *PERSONNEL "STINGER"* ☒ Yes ☐ No  
*CERTIFIED*

10. RADIO FAMILIARIZATION	Evaluated	Action Required	Corrected
	09-15-09	NO	

- a. Are officers familiar with all aspects of the radio control head? ☒ Yes ☐ No
- b. Can officers demonstrate how to change the radio from their home Area to another Area/Division? ☒ Yes ☐ No
- c. Can officers efficiently operate all emergency equipment from the radio head? ☒ Yes ☐ No

*NEW FREQUENCY TRAINING WILL BE  
CONDUCTED DURING OCTOBER 2009.  
REVIEW OF THIS BY ALL PERSONNEL DURING  
SEPTEMBER 2009.*

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The former Commander, Captain C. McGagin, was transferred to North Sacramento on July 1, 2009. Captain C. McGagin was committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. Acting Commander, Lieutenant P. Symonds, has continued with that commitment. In addition, Captain C. McGagin made every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (W. Carrington) maintains an anniversary roster of all uniformed personnel. Officer W. Carrington schedules Area OST/PMA Training which includes practical training for all uniformed personnel throughout the year, as well as providing review during quarterly training days. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, the Chapter 17 Inspection, all active duty uniformed personnel have completed 2009 certification. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Fifty CHP 100 forms were reviewed; only four had comments regarding officer safety tactics observed in the field. However, a majority of the remaining CHP 100 forms did include documentation of "safety tips" and/or "occupational safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 2 of 5

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains four certified OST/PMA Instructors. The instructors are Officers W. Carrington, A. Herrera, D. Eadie, and J. Colin. The area is continuing to schedule selected personnel to attend the OST Instructor course (80-hour) to meet the recommended 1:10 instructor to officer ratio. Sergeant S. Toth is responsible for the OST/PMA program and has been designated the Training Supervisor since April 1, 2007. The number of instructors is satisfactory pending additional personnel scheduled for OST Instructor training to increase the area ratio. The Area's equipment is adequate.
- 3 SAFETY EQUIPMENT
- 3A All officers are certified in the OC/pepper spray. Officers D. Newell and J. Contreras were questioned regarding the use of the OC spray, decontamination and limitations. Officers D. Newell and J. Contreras were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.
- 3B-D Officers D. Newell and J. Contreras were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 90% of the Area is ASP certified. In addition, the officers' equipment was in good condition.
- 3E Officers D. Newell and J. Contreras stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer W. Carrington is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"
- 3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers W. Carrington, P. Mann, R. Duran, and D. Eadie are responsible for weapons inspections and are Academy qualified.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**  
August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 3 of 5

4     FIREARMS

- 4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, CA POST DVD, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy during the Area training days. During the December 18, 2006 Chapter 17 Inspection a recommendation was made that the Area utilizes a type of "sign-off" review of policy(s) during all quarters of the year to assure accountability by uniformed employees. The Area (Officer W. Carrington) subsequently implemented "Individual Policy Review Packets" with sign-off. Officers D. Newell and J. Contreras were questioned on their knowledge of policy and its applications including the Less Lethal weapon system. The officers showed a very good understanding of the shooting policy and the proper deployment procedures of the Less Lethal weapon system.
- 4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during all eight months scheduled to present in 2009. Officer W. Carrington regularly schedules three/four range dates per month. The average monthly pistol shoots attended during 2009 to date by Area personnel is approximately four (4.2). The average monthly shoots credited/recorded per employee are approximately six (6.0) which equal an average of approximately two (1.8) make-up shoots per employee during 2009. This satisfactory average is a cumulative calculation of the (118) area personnel (*page 1 - 6 of the "Monthly Pistol Shoots" report*) and does not account for management, limited duty, 4800 time, or military leave personnel.
- 4C     Officer W. Carrington coordinates the Academy qualified weapons training program (*range*). Officers W. Carrington, P. Mann, R. Duran, and D. Eadie are all Academy qualified weapons training officers that assist with scheduled range duties on a regular basis. Monthly range schedules normally include two day and two night ranges to accommodate the number of personnel assigned to San Diego Area.
- 4D     The range facilities, Miramar SDSO Tactical Training Facility (Duffy's Town at Camp Elliott), and the Donovan State Prison are the contracted ranges for the San Diego Area office. The ranges meet the needs to facilitate all required shoots as described in policy.
- 4E     The Area's ammunition is kept in a locked wall locker; the locker is located in the locked "Conex Container" outside the main building. The "Conex Container" is located in the rear gated parking lot. The ammo locker can only be accessed by Ammunition Officer, F. Aleta.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**

August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 4 of 5

A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. Area does have a current procedure in place to quarterly audit the ammunition inventory which does include a memorandum. It was recommended that the quarterly audit includes the supervisor to make a notation on the inventory form, "CHP 269 RECORD OF AMMUNITION," and is also reflected on the subsequent memorandum that is forwarded to the commander for review.

- 4F The clearing tube is located at the rear entrance to the Area office. Officers D. Newell and J. Contreras were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer W. Carrington maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The San Diego Area currently follows policy which includes Government Code Section 13403 which states that a ***"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."*** The Area procedure in place ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition. The current procedure includes an Ammunition Officer (F. Aleta), Range Officer (W. Carrington), and Sergeant S. Toth to oversee the process to ensure a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

- 5A Officers ~~D. Newell~~ and ~~J. Contreras~~ were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**SAN DIEGO AREA**

August 14, 2009

**SAN DIEGO AREA**  
**CHAPTER 17**

Page 5 of 5

5B-D Officers ~~Ortewell~~ and ~~LeContre~~ were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review. The Area (Officer W. Carrington) utilizes "Individual Policy Review Packets" with sign-off review of policy during all quarters of the year to assure accountability by uniformed employees.

CONCLUSION

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on August 14, 2009. Acting Commander, Lieutenant P. Symonds, Sergeant S. Toth, and Officer W. Carrington were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.



Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>San Diego</u>	Division <u>Border</u>	Number
Evaluated By <u>F. L. Martinez</u> <u>J. J. J. J.</u>		Date <u>8-14-09</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date _____
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	Commander's Review <u>[Signature]</u> Date <u>10/12/09</u>
<b>1. COMMAND INVOLVEMENT</b>	Evaluated <u>8-14-09</u>	Action Required <u>NO</u>

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? 50/4 ☒ Yes    ☒ No *ACTION NOTE ONLY*

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes    ☐ No

(a) Do they attend officer safety training sessions? ☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A ☐ Yes    ☐ No

<b>2. TRAINING AND CERTIFICATION</b>	Evaluated <u>8-14-09</u>	Action Required <u>NO</u>	Corrected
--------------------------------------	-----------------------------	------------------------------	-----------

a. Do training records indicate formal training has been received and certified? ☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: ALLA OST-2nd GTR-2009-6 2008

(a) Searching techniques? ☒ Yes    ☐ No

(b) Handcuffing? ☒ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
RE-ENTRY IS NEEDED		
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
FOR THE AREA OF THE 2009-2010		
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
NO ISSUES 2009-2010		
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
SEPARATE REVIEW		
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
057 (2 WEEK)		
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
SGT. B. TOTH		
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
DUNOVAN, CALIFORNIA, CAMP FLEMING		
(4) What is the quality and quantity of the training being given?	QUALITY IS VERY GOOD - QUANTITY IS GOOD ALSO CONSIDERING THE 12 WEEK SCHEDULE	
(5) Have the supervisor and his/her alternate received proper training?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated 8-14-09	Action Required N/A	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	OFFICERS WILL BE RE-EDUCATED		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?	OFFICERS NEVER OFFICERS CONTINUOUS		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?	DEMONSTRATED		<input type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	DEMONSTRATED		<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?	DEMONSTRATED		<input type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?	OST CERTIFICATION		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?	ASP 95-0093		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	CARRY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Does the majority of uniformed personnel routinely wear body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	N/A 2008-2009		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated	Action Required	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with?	B-14-09	NO	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers thoroughly understand the policy?	Quarterly Review		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?			<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Are shoots conducted as required by policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Does the Area have a weapons training officer?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Has the officer completed Academy training for weapons training officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Does the officer supervise all shoots?	W/ SAFETY OFFICER		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is the officer well-organized in his/her training?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Is there a designated alternate to the weapons training officer?	FOUR TRAINING		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Has that officer received Academy training?	FOUR TRAINING		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	Range Facilities - Dufferin		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(b) Have future range needs been considered?	Range Facilities - Dufferin		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have shotguns been inventoried as required?	63 ANNUALLY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all shotguns accounted for?	(2) LOSS REPORT		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input type="checkbox"/> Yes <input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required?	42 ANNUALLY		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all tactical rifles accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Is ammunition only issued at the range?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(e) Is there an effective method for daily assignment and control?	FOUR TRAINING		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

Chapter 17

OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken? <i>Reviewed</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken? <i>Yes</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record? <i>Accurate</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery? <i>Inspected in Ammo Shop</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place? <i>Exact Date</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270? <i>OTSI Form - Hand Carried</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If RP handles ammunition, are proper accountability procedures in place?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual 118?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
5. PHYSICAL METHODS OF ARREST	Evaluated	Action Required	Corrected		
a. Do officers practice weaponless defense?	8-14-09	NO		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:					
(1) Control holds?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(10) Carotid hold? Note: The use of the Carotid hold is temporarily suspended.				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are officers following guidelines for the carotid hold as listed in policy?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has use of excessive force awareness training been conducted?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Were observations of practical handcuffing techniques made?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?				<input type="checkbox"/> Yes	<input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

Chapter 17

## OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated 8-14-09	Action Required NO	Corrected
------------------------	----------------------	-----------------------	-----------

a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated 8-14-09	Action Required NO	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

## Chapter 17

### OFFICER SAFETY

☐ Yes      ☐ No

☒ Yes      ☐ No

☐ Yes ☒ No

Evaluated

### Action Required

Corrected

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

Evaluated

### Action Required

Corrected

☐ Yes ☒ No

☐ Yes ☒ No

☒ Yes      ☐ No☐ Yes      ☐ No

Evaluated

### Action Required

Corrected

☒ Yes      ☐ No☒ Yes      ☐ No

☒ Yes      ☐ No



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
October 6, 2009

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain S. Houston, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, officer safety training, and the personnel's awareness of occupational safety. In addition, acting Commander Lieutenant T. Lukkes makes every effort to attend all scheduled quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (A. Varela) maintains an anniversary roster of all uniformed personnel. The Area schedules the annual CHP 199 certification throughout the year, during scheduled training days. Officer Varela schedules all uniformed personnel to attend the annual certification training. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of 10 CHP 100 forms were reviewed and revealed comments regarding officer safety tactics were observed in the field and specifically noted on 5 of the forms. In addition, CHP 100 forms did contain documentation of "safety tips" and "occupational safety." Some of the forms did have attached "Ride-A-Along" forms with documentation of officer safety tactic observations and safety tips.
- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains four OST/PMA instructors. The instructors are Officers D. Hertsch, R. Rush, J. Stapleton and C. Wolter. Sergeant S. Doumas is responsible for the OST/PMA program. The Area OST/PMA training is currently conducted training specific to the OST curriculum received by the four certified instructors. Based upon a survey of Area personnel the quality and quantity of officer safety training is considered good. In addition, the number of instructors is appropriate and the Area's equipment is adequate.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
October 6, 2009

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 2 of 5

3     SAFETY EQUIPMENT

3A     All officers are certified in the OC/pepper spray. Officers T. McCullough and S. Miles were questioned regarding the use of the OC spray, decontamination and limitations. They were well versed in these procedures. Officer Varela ensured that all patrol cars contained saline bottles (1000 ml) in the trunk box.

3B-D   Officers T. McCullough and S. Miles were asked to demonstrate their proficiency in PMA and OST. The officers were familiar with the specific PMA techniques and showed good proficiency with their holsters and loading devices. Both officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. In addition, the officer's equipment was in good condition.

3E     Officers T. McCullough and S. Miles stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer A. Varela is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

3F     Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers A. Varela, R. Rand, T. Hall, W. Bardens and M. Stoney are responsible for weapons inspections and all are Academy qualified.

4     FIREARMS

4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, CA POST DVD, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy during quarterly training days. The Area utilizes a "Policy Review Binder" with individual personnel review sign-off during each quarter. Officers T. McCullough and S. Miles were questioned on their knowledge of policy and its applications. The officers showed a good understanding of the shooting policy.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
October 6, 2009

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 3 of 5

- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during five months scheduled to present date in 2009. The Area was unable to schedule range dates during the months of April through August due to contractual conflicts with the Area's range (Iron Sights). The average monthly pistol shoots attended during the five months in 2009 by Area personnel is 3 (3.4). The average monthly shoots credited/recorded during the five months per employee are five (4.6). This average for five months is cumulative for all personnel from Area 690 and does not account for management, limited duty or 4800 time.
- 4C Officers A. Varela, R. Rand, T. Hall, W. Bardens and M. Stoney coordinate the Academy qualified weapons training program. Sergeant S. Doumas is the designated alternate to the weapons training officers.
- 4D The range facilities, Iron Sights, does not meet the needs to facilitate all required shoots as described in policy. The Area did express concerns regarding contractual conflicts, facility scheduling parameters and officer training issues related to public observation of weapons/tactical training at the facility. It was recommended that the Area continue to follow up with alternate training plans to obtain adequate facilities to avoid the above mentioned concerns.
- 4E The Area's ammunition is currently kept in two separate locations. It was recommended that the wall locker kept in the outside store room be moved into the Area Security Room "Armory" located within the main building. This would safely and securely accommodate the quantity of ammunition inventory. The lockers can be accessed by Sergeant S. Doumas or Officer M. Jackson with an issued key. Ammunition inventory discrepancies were noted and corrected at the time of this inspection. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically audit the ammunition by Sergeant S. Doumas and a subsequent memorandum is forwarded to Lieutenant T. Lukkes for review.
- 4F The clearing tube is located at the rear entrance to the Area office. Officers T. McCullough and S. Miles were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
October 6, 2009

**CAPISTRANO AREA**  
**CHAPTER 17**

Page 4 of 5

4H The Capistrano Area currently follows policy which includes Government Code Section 13403 which states that a ***"separation of duties exists between the handling of ammunition and the handling of firearms training records. The person who issues ammunition for training shall not be responsible for recording the training."*** The Area procedure in place ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition. The current procedure includes an Ammunition Officer (M. Jackson), Range Officer (A. Varela), and Sergeant S. Doumas to oversee the process to ensure a thorough and systematic ammunition inventory process.

5 PHYSICAL METHODS OF ARREST

5A Officers [REDACTED] and [REDACTED] were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

5B-D Officers [REDACTED] and [REDACTED] were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUIITS

7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**CAPISTRANO AREA**  
October 6, 2009

**CAPISTRANO AREA**  
**CHAPTER 17**  
Page 5 of 5

**CONCLUSION**


The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on October 6, 2009. Sergeant S. Doumas, Officer A. Varela were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

AREA Capistrano (690)	DIVISION Border Division (601)	NUMBER
EVALUATED BY F. Lamb / J. Javier		DATE 10/05/2009

**INSTRUCTIONS:** Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed on the CHP 454, Area Management Evaluation Supplement. The Supplement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Supplement can be handwritten if desired.

TYPE OF EVALUATION <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		SUSPENSE DATE	
FOLLOW-UP REQUIRED <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		COMMANDER'S REVIEW 	DATE 10-15-09
<input type="checkbox"/> Correction Report BY _____		EVALUATED 10/05/2009	ACTION REQUIRED No CORRECTED

**1. COMMAND INVOLVEMENT**

a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes    ☐ No

(3) Do the officers' CHP 100 and CHP 118s, Performance Appraisals, contain comments on officer safety? ☒ Yes    ☐ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force, and the correct use of safety equipment? ☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes    ☐ No

(a) Do they attend officer safety training sessions? ☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A

**2. TRAINING AND CERTIFICATION**

EVALUATED 10/05/2009	ACTION REQUIRED No	CORRECTED
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a. Do training records indicate formal training has been received and certified? ☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: *100 CERTIFICATIONS DURING TRAINING DAYS* *POLICY REVIEW BINDER* *W/ SIGN OFF*

(a) Searching techniques. ☒ Yes    ☐ No

(b) Handcuffing. ☒ Yes    ☐ No

(c) Use of safety equipment. ☒ Yes    ☐ No

(d) Suspect control. ☒ Yes    ☐ No

(e) High risk and felony stops. ☒ Yes    ☐ No

(f) Hostage control. ☒ Yes    ☐ No

(g) Prisoner transportation. ☒ Yes    ☐ No

(h) Radio control head operation. ☒ Yes    ☐ No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review CHP 121s, CHP 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of CHP 100, CHP 118s, and citizen complaints indicate a through review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors? <i>(4) RUSH, HICKS, STAFFORD, WOOD</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program? <i>SEARGEANT S. BOWMAN</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) What is the quality and quantity of the training being given? <i>Quality - Good. Quantity - Good. Priority for Officer safety - Incorporating AOST and EOST training.</i>		

(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>3. SAFETY EQUIPMENT</b>	EVALUATED 10/05/2009	ACTION REQUIRED No

a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on duty, in uniform?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, re-holster and without looking at the holster, fasten the safety strap with one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers and sergeants draw and fire their weapons within one and a half seconds, using one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Do all uniformed personnel wear body armor?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were required reports submitted to Supply Services Unit, per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case, and OC spray projectors inspected in conjunction with the annual performance appraisal?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>4. FIREARMS</b>	EVALUATED 10/05/2009	ACTION REQUIRED No
a. Has the requirement for quarterly review of policy regulating discharge of firearms been compiled with?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers thoroughly understand the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are shoots conducted as required by policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, day/night shoots, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Does the Area have a range officer? (S) A. VARELA, R. RAND, T. HALL, M. BARDEN, M. STONEY	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the officer completed Academy training for range officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the officer supervise all shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is the officer well-organized in his/her training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Is there a designated alternate to the range officer?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Has that officer received Academy training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



## AREA MANAGEMENT EVALUATION

### OFFICER SAFETY

CHP 453S (Rev. 6-06) OPI 009

d. Are range facilities adequate for pistol, rifle, shotgun and night shoots? <i>IRON SIGNS</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Have future range needs been considered?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Is an effective and efficient inventory process for shotguns, rifles, and ammunition in place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have shotguns been inventoried as required? <i>(27)</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all shotguns accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required? <i>(20)</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all tactical rifles accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is there adequate storage when the weapons are not being carried by on-duty officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Is there an effective method for assignment and control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted, and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not to be drawn, loaded, or unloaded except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge? <i>BACKLOT ON WEST WALL OF MAIN BUILDING</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

STATE OF CALIFORNIA  
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL  
**AREA MANAGEMENT EVALUATION**  
**OFFICER SAFETY**  
CHP 453S (Rev. 6-06) OPI 009

(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept updated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270 and in ETRS?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or backup employee?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers? N/A		
(1) If RP handles ammunition, are proper accountability procedures in place?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual CHP 118?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>5. PHYSICAL METHODS OF ARREST</b>	EVALUATED 10/05/2009	ACTION REQUIRED No
a. Do officers practice weaponless defense? <i>7 MCCULLOUGH # 14849, S. MILES # 12386</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:		
(1) Control holds.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

c. Were observations of practical handcuffing techniques made?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone, or uncooperative?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Are all persons subjected to physical arrest searched for offensive weapons?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6. ENFORCEMENT TACTICS</b>	EVALUATED 10/05/2009	ACTION REQUIRED No	CORRECTED
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five options of an enforcement stop?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of pre-planning and coordination with allied agencies to prepare beat officers for hostage situations?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required, and render necessary medical aid?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>7. PURSUITS</b>	EVALUATED 10/05/2009	ACTION REQUIRED No	CORRECTED
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits? <i>ORANGE COUNTY POLICE CHIEF MEETINGS</i>		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**AREA MANAGEMENT EVALUATION****OFFICER SAFETY**

CHP 453S (Rev. 6-06) OPI 009

(1) Are any written agreements on file?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is Division involved in the planning process?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>8. FORCIBLE STOPS</b>	EVALUATED 10/05/2009	ACTION REQUIRED No
a. Are Area personnel knowledgeable regarding the policy on forcible stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the Area follow departmental policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have forcible stop reports been reviewed for compliance with policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>9. ROADBLOCKS</b>	EVALUATED 10/05/2009	ACTION REQUIRED No
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are strategic points and personnel assignments outlined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have the officers received instructions on the proper methods of establishing roadblocks?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Have interagency training sessions been conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<b>10. RADIO FAMILIARIZATION</b>	EVALUATED 10/05/2009	ACTION REQUIRED No
a. Are officers familiar with all aspects of the radio control head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Can officers efficiently operate all emergency equipment from the radio head?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OTAY MESA INSPECTION FACILITY**  
September 21, 2009

**OTAY MESA I. F.**  
**CHAPTER 17**

Page 1 of 5

ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Lieutenant J. Martinez, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, and officer safety training.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (S. Pena) maintains an anniversary roster of all uniformed personnel. The Area schedules the annual CHP 199 certification during December. A review of the CHP 199 forms indicates that all officers and sergeants are current. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that officer safety tactics observed in the field were not specifically noted on a regular basis. Due to the fact that the Area is an inspection facility, most comments did pertain to commercial inspection procedures, and general safety tips. Area personnel participate regularly during commercial enforcement campaigns, field related assignments, commercial task force operations, and general public contacts which would allow for future observation of officer safety tactics by supervisors.
- Action:**  
**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C Training records indicated that all personnel are proficient and did not require remedial training.
- 2D Area maintains one OST/PMA instructor. The one instructor, Officers F. Espiritu has successfully completed the OST (2-week) Instructor Course. Sergeant H. Hendrickson is responsible for the OST/PMA program. It was recommended that the Area continue to solicit a uniformed volunteer to attend the OST Instructor Course to assist with training and certification. The number of instructors is below the recommended ratio (1:10) instructor to student. The Area's equipment is adequate.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OTAY MESA INSPECTION FACILITY**  
September 21, 2009

**OTAY MESA I. F.**

**CHAPTER 17**

Page 2 of 5

3     **SAFETY EQUIPMENT**

3A     All officers are certified in the OC/pepper spray. Officers [REDACTED] and [REDACTED] were questioned regarding the use of the OC spray, decontamination and limitations. They were well versed in these procedures. Officer S. Pena assured that the patrol cars are checked regularly to make sure they do contain saline bottles (1000 ml) in the trunk box.

3B-D   Officers [REDACTED] and [REDACTED] were asked to demonstrate their proficiency in PMA and OST. The officers were familiar with the specific PMA techniques and showed good proficiency with their holsters and loading devices. Both officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. In addition, the officer's equipment was in good condition.

3E     At the time of the scheduled Officer Safety Evaluation, two officers were observed wearing their protective vests. However, other uniformed personnel observed were not wearing protective vests. In addition, Officer S. Pena is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

**Action:**

**HPM 73.5 Chapter 4 Soft Body Armor, section 2 (c) Intent, states the following: *It is the Department's intent that the requirement to wear soft body armor as contained in paragraph 2.b. above be liberally construed to encompass any employee whose assignment places him/her in an enforcement role or a public contact situation regardless of location.***

3F     Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules. Officers S. Pena and D. Bradford are responsible for weapons inspections and are Academy qualified.

4     **FIREARMS**

4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. It was recommended that the Area utilize a quarterly suspense system for all uniformed employees to "sign-off" regarding the review of all quarterly policies. Officers C. Ravelo and J. Collins. were questioned on their knowledge of policy and its applications. The officers showed a good understanding of the shooting policy.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OTAY MESA INSPECTION FACILITY**  
September 21, 2009

**OTAY MESA I. F.**  
**CHAPTER 17**

Page 3 of 5

- 4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area was unable to conduct the required shoots during the eight (Jan. – Aug.) months scheduled in 2009. The Area encountered a scheduling conflict at the Donovan State Prison Range during the months of February and April. Area personnel had the opportunity to attend make-up shoots subsequent each month. The average monthly pistol shoots attended during 2009 by Area personnel is approximately four (4.0) through eight months. The average monthly pistol shoots credited per employee is approximately six (5.6) which equal an average of approximately two make-up shoots per employee. This average is a cumulative calculation of the Area personnel and does not account for management, limited duty, 4800 time, maternity leave, and military leave personnel.

**Action:**

**HPM 70.8, Chapter 3, (1) (a) Required Shoots states that employees shall fire 12 primary firearm shoots each year (one each month).**

- 4C Officers S. Pena and D. Bradford coordinate the Academy qualified weapons training program. Sergeant H. Hendrickson is the designated alternate range "Safety Officer" to the weapons training officers.
- 4D The range facility, Donovan State Prison, is the range for the Otay Mesa Inspection Facility. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked locker; the locker is located in the facility "Storage" room. The locker can be accessed by Sergeant H. Hendrickson and Officer M. Collins with an issued key. It was recommended that the facility storage room door lock be repaired to restrict access to the room/locker. The Area suggested moving the locker to a more secure area within the building in a locked storage closet. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy. The Area does have a procedure in place to periodically audit the ammunition by Sergeant H. Hendrickson and a subsequent memorandum is forwarded to Lieutenant J. Marinez for review.
- 4F The clearing tube is located in a safe location against an exterior wall inside the inspection bay. Officers ~~C. Pava~~ and ~~M. Collins~~ were observed utilizing the clearing tube to empty and charge their weapons.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OTAY MESA INSPECTION FACILITY**  
September 21, 2009

**OTAY MESA I. F.**  
**CHAPTER 17**

Page 4 of 5

- 4G The weapons training records were checked and no discrepancies were noted. Officer S. Pena maintains the training records system with the utilization of the ETRS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. Sergeant H. Hendrickson, is designated the Ammunition Supervisor, who is responsible to maintain and control the documentation of all inventories of ammunition and requisitions. The Otay Mesa Inspection Facility currently follows policy which includes Government Code Section 13403.

5 PHYSICAL METHODS OF ARREST

- 5A Officers [REDACTED] and [REDACTED] were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.
- 5B-D Officers [REDACTED] and [REDACTED] were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

- 6A Officers [REDACTED] and [REDACTED] were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

7 PURSUIITS

- 7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated a good, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.



**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OTAY MESA INSPECTION FACILITY**  
September 21, 2009

**OTAY MESA I. F.**  
**CHAPTER 17**  
Page 5 of 5

**7      PURSUIT**

- 7A**    The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated a good, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

**CONCLUSION**

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier September 21, 2009. Commander J. Martinez, Sergeant H. Hendrickson and Officer S. Pena were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>646</u>	Division <u>601</u>	Number
Evaluated By <u>OFFICERS F. RAMOS J. JAVIER</u>		Date <u>09/21/2009</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation		Suspense Date _____	
<input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation			
Follow-up Required	<input type="checkbox"/> Correction Report	<u>H. John P. Manos</u> <u>10/13/09</u> Commander's Review    Date	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	by _____		
<b>1. COMMAND INVOLVEMENT</b>		Evaluated <u>09/21/2009</u>	Action Required <u>NO</u>
		Corrected	
a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does the commander stress importance of proper enforcement tactics, including use of force?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the safety record of the command reflect an awareness of proper tactics?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do the captain and lieutenants maintain a minimum level of enforcement skills?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do they attend officer safety training sessions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) If they are not involved in officer safety, what are the reasons?		<u>N/A</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>2. TRAINING AND CERTIFICATION</b>		Evaluated <u>09/21/2009</u>	Action Required <u>NO</u>
		Corrected	
a. Do training records indicate formal training has been received and certified?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:			
(a) Searching techniques?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Handcuffing?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training? <i>DONOVAN STATE PRISON / SECURE AND BACKLOT</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) What is the quality and quantity of the training being given? <i>ADGUAITE SCENARIO BASED TRAINING, AND SCOTT.</i>		
(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>09/21/2009</i>	Action Required <i>YES</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<input checked="" type="checkbox"/> e. Does the majority of uniformed personnel routinely wear body armor? <i>Action Item</i>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?		<i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated 09/21/2009	Action Required NO	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do officers thoroughly understand the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do incidents involving firearms show proper understanding of the policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are shoots conducted as required by policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have steps been taken to correct training deficiencies?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Are weapons training and maintenance records readily available? Current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Does the Area have a weapons training officer? (2) TWO SPENA, D. BRADFORD	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Has the officer completed Academy training for weapons training officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Does the officer supervise all shoots?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Is the officer well-organized in his/her training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4) Is there a designated alternate to the weapons training officer? (1) ONE D BRADFORD	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Has that officer received Academy training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots? DENAVAN STATE PARK	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do plans follow instructions for range contract renegotiations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Have future range needs been considered?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have shotguns been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all shotguns accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Are shotguns fired annually to ensure operable condition?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Have tactical rifles been inventoried as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Are all tactical rifles accounted for?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Is maintenance/cleaning done as required?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(c) Is ammunition only issued at the range?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(e) Is there an effective method for daily assignment and control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers? <i>N/A</i>				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If RP handles ammunition, are proper accountability procedures in place? <i>N/A</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual 118?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
5. PHYSICAL METHODS OF ARREST	Evaluated <i>09/15/1209</i>	Action Required <i>NO</i>	Corrected		
a. Do officers practice weaponless defense?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense? <i>N/A</i>				<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed: <i>CHP 199</i>					
(1) Control holds?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(10) Carotid hold? <i>DEADLY FORCE ONLY</i> Note: The use of the Carotid hold is temporarily suspended.				<input type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are officers following guidelines for the carotid hold as listed in policy?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has use of excessive force awareness training been conducted?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Were observations of practical handcuffing techniques made?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

# AREA MANAGEMENT EVALUATION

Chapter 17

## OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated 09/21/2009	Action Required N/A	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated 09/21/2009	Action Required NO	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



## OFFICER SAFETY

Page 8

Department of California Highway Patrol  
AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

Area <u>OCEANSIDE</u>	Division <u>BORDER</u>	Number
Evaluated By <u>F.P. LAMB</u> <u>J. JAVIER</u>		Date <u>09-24-09</u>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed in the Summary Statement. The Summary Statement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Summary can be handwritten if desired.

Type of Evaluation <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		Suspense Date _____
Follow-up Required <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Correction Report by _____	Commander's Review <u>[Signature]</u> Date <u>10/15/09</u>

1. COMMAND INVOLVEMENT

Evaluated <u>09-24-09</u>	Action Required <u>NO</u>	Corrected
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a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers? ☒ Yes    ☐ No

(1) Does the commander stress importance of proper enforcement tactics, including use of force? ☒ Yes    ☐ No

(2) Does the safety record of the command reflect an awareness of proper tactics? ☒ Yes    ☐ No

(3) Do the officers' CHP 100 forms and CHP 118s, Performance Appraisals, contain comments on officer safety? ☐ Yes    ☒ No

b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force and the correct use of safety equipment? ☒ Yes    ☐ No

(1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants? ☒ Yes    ☐ No

(2) Do the captain and lieutenants maintain a minimum level of enforcement skills? ☒ Yes    ☐ No

(a) Do they attend officer safety training sessions? ☒ Yes    ☐ No

(b) If they are not involved in officer safety, what are the reasons? N/A ☐ Yes    ☐ No

2. TRAINING AND CERTIFICATION

Evaluated <u>09-24-09</u>	Action Required <u>NO</u>	Corrected
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a. Do training records indicate formal training has been received and certified? CHP 199 ☒ Yes    ☐ No

(1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for: 2010 TO BE BASED ON ANNIVERSARY DATA  
ANNUALLY DURING 4TH QTR. REVIEW SEPARATE

(a) Searching techniques? ☒ Yes    ☐ No

(b) Handcuffing? ☒ Yes    ☐ No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(c) Use of safety equipment?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Suspect control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) High risk and felony stops?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Hostage control?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Prisoner transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) Radio control head operation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is the command dedicating enough time toward training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review 121s, 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of 100 forms, 118s and citizen complaints indicate a thorough review is being made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	N/A IN 2009 REVIEW SEPARATELY FROM CERT. PER POLICY	
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	051 INST. (8)	
(1) Is instructor proficiency maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	Sgt. K. VAN ORSDRUP	
(a) Does that individual ensure the quality and level of proficiency is maintained?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?	ADEQUATE / SATISFACTORY	
(4) What is the quality and quantity of the training being given?	QUALITY IS GOOD, QUANTITY IS SATISFACTORY; HOWEVER EXPECTATION OF INCREASING IN 2010	
(5) Have the supervisor and his/her alternate received proper training?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

3. SAFETY EQUIPMENT	Evaluated <i>09-24-09</i>	Action Required <i>NO</i>	Corrected
a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on-duty, in uniform?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
b. Are officers/sergeants familiar with the function of their duty holsters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Can officers/sergeants draw and fire their weapon, reholster and without looking at the holster, fasten the safety strap with one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Can officers and sergeants draw, cycle and reholster their weapons within one and a half seconds, using one hand?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
c. Are officers/sergeants proficient in reloading their weapons from a standing and sitting position?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
d. Do officers/sergeants routinely practice with their batons? <i>ANNUALLY - CIVIL DUTY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do officers/sergeants carry their batons on all enforcement stops? <i>ASP 95%</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Can officers/sergeants successfully demonstrate approved baton techniques?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
e. Does the majority of uniformed personnel routinely wear body armor?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Have active steps been taken to encourage nonusers to take advantage of the protection afforded by soft body armor?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Were required reports submitted to Supply Services Unit, as per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument? <i>N/A IN 2009</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(a) If so, did the involved officer receive a complete physical examination? <i>N/A</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case and OC spray projectors inspected in conjunction with the annual performance appraisal?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(1) Do CHP 311 forms indicate compliance? <i>(5)</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Were deficiencies corrected within 30 days of the inspection?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

4. FIREARMS	Evaluated <i>09-24-09</i>	Action Required <i>YES</i>	Corrected
a. Has the requirement for quarterly review of policy regulating discharge of firearms been complied with?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Do officers thoroughly understand the policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Are shoots conducted as required by policy? <i>LOW AVERAGE 8 WITH 3 SCH. RANGERS PER MONTH</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, ammunition types, day/night shoots, etc.?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Does the Area have a weapons training officer? <i>(4)</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Has the officer completed Academy training for weapons training officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Does the officer supervise all shoots? <i>W/ SAFETY OFFICER</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Is the officer well-organized in his/her training? <i>VERY</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(4) Is there a designated alternate to the weapons training officer? <i>X 3</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Has that officer received Academy training? <i>X 3</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
d. Are range facilities adequate for revolver, pistol, rifle, shotgun and night shoots? <i>IRON SIGHTS</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities? <i>CAMP PENDLETON / SCHED.</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Have future range needs been considered? <i>NOT AT THIS TIME</i>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
e. Is an efficient and effective inventory process for shotguns, rifles and ammunition in place? <i>QTR</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Have shotguns been inventoried as required? <i>#40 / #2 LL</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all shotguns accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required? <i>QTRLY OR AS NEEDED</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) Are all tactical rifles accounted for?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(c) Is ammunition only issued at the range?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(d) Is there adequate storage when the weapons are not being carried by on-duty officers?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(e) Is there an effective method for daily assignment and control?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken? <i>ATRY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted and receipt acknowledged immediately upon delivery?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not be drawn, loaded, unloaded or dry fired except in the clearing tube?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept undated as training takes place?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270? <i>+ OTS (ETRS)</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?				SGT. VAN ORSDAL - AMMUNITION OFFICER	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or back-up employee?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?				N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If RP handles ammunition, are proper accountability procedures in place?				N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual 118?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?				FIELD	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
5. PHYSICAL METHODS OF ARREST	Evaluated	Action Required	Corrected			
	09-24-09	NO				
a. Do officers practice weaponless defense?				SCHEDULED 01ST DAYS IN 2010	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:						
(1) Control holds?				INCORPORATION OF NEW 01ST INSTRUCTION	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(10) Carotid hold? Note: The use of the Carotid hold is temporarily suspended.					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are officers following guidelines for the carotid hold as listed in policy?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has use of excessive force awareness training been conducted?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Were observations of practical handcuffing techniques made?				DEMO w/ J. JAVIER	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone or uncooperative?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?					<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



AREA MANAGEMENT EVALUATION  
Chapter 17  
OFFICER SAFETY

d. Are all persons subjected to physical arrest searched for offensive weapons?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

6. ENFORCEMENT TACTICS	Evaluated 09-24-09	Action Required NO	Corrected
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a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five levels of an enforcement stop?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of preplanning and coordination with allied agencies to prepare beat officers for hostage situations?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required and render necessary medical aid?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

7. PURSUITS	Evaluated 09-24-09	Action Required NO	Corrected
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a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



## Chapter 17

### OFFICER SAFETY

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

Corrected

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

Corrected

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

Corrected

☒ Yes      ☐ No

☒ Yes      ☐ No

☒ Yes      ☐ No

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**  
September 24, 2009

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 1 of 4

ITEM

1      COMMAND INVOLVEMENT

- 1A      The Commander, Captain D. Schroder, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, quarterly officer safety training, and the personnel's awareness of occupational safety. In addition, Captain D. Schroder makes every effort to attend a scheduled range day on a quarterly basis and all scheduled quarterly training days.

2      TRAINING AND CERTIFICATION

- 2A      The Training Officer (S. Miller) maintains an anniversary roster of all uniformed personnel. Officer S. Miller schedules OST/PMA practical training throughout the year as well as providing review during quarterly training days. Officer S. Miller schedules the annual OST/PMA certification during the month of November when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. In addition, the S.R.O.V.T. calendar is reviewed at each shift briefing.

- 2B      A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. A majority of the CHP 100 forms did include documentation of officer/occupational "safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

**Action:**

**Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.**

- 2C      Training records indicated that all personnel are proficient and did not require remedial training.
- 2D      The Area currently has eight Academy certified OST/PMA instructors. The instructors are Sergeant K. Perez, Officers S. Miller, J. Gaffney, D. Morenberg, D. Makis, J. Shelter, M. Carson, and S. Gutierrez. Sergeant K. Perez is responsible for the OST/PMA program; however Sergeant K. VanOrsdel is designated the Area training supervisor. The number of instructors is appropriate to meet the recommended (1:10) instructor to student ratio. In addition, the Area's equipment is adequate.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**  
September 24, 2009

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 2 of 4

3     SAFETY EQUIPMENT

3A     All officers are certified in the OC/pepper spray. Officers ~~L. Crowe~~ and ~~K. Thomas~~ were questioned regarding the use of the OC spray, decontamination and limitations. The officers were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.

3B-D   The officers were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 95% of the Area is ASP certified. In addition, the officer's equipment was in good condition.

3E     The officers stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer S. Miller is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

3F     Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers S. Miller, M. Aldrich, S. Emmons, S. Gutierrez, and J. Shelter are responsible for weapons inspections and Academy qualified.

4     FIREARMS

4A     Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. Officers ~~L. Crowe~~ and ~~K. Thomas~~ were questioned on his knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.

4B     A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during eight (Jan. – Aug.) months scheduled in 2009. Officer S. Miller is designated as the primary "*Weapons Officer*" and regularly schedules three range dates per month. The average monthly pistol shoots attended during 2009 by Area personnel is approximately four (3.75) during the past eight months.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**  
September 24, 2009

**OCEANSIDE AREA**  
**CHAPTER 17**

Page 3 of 4

The average monthly pistol shoots credited per employee is approximately six (5.54) which equal an average of approximately two make-up shoots per employee. This low average is a cumulative calculation of the Area personnel and does not account for management, administrative assignment, limited duty, 4800 time, or military leave.

**Action:**

**HPM 70.8, Chapter 3, (1) (a) Required Shoots states that employees shall fire 12 primary firearm shoots each year (one each month). In the case of exceptional hardship, commanders may further limit the number of required shoots with the concurrence of the Division commander.**

- 4C Officers S. Miller and S. Emmons coordinate the Academy qualified weapons training program. Officers S. Gutierrez and is the designated the alternate to the weapons training officers.
- 4D The range facility, Iron Sights Shooting Range, is the contracted range for the Oceanside Area office. This range meets the needs to facilitate all required shoots as described in policy.
- 4E The Area's ammunition is kept in a locked locker; the locker is located in the "Armory Room". The ammo locker can only be accessed by the Area designated "*Ammunition Officer*", Sergeant K. VanOrsdel. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date. No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy.
- 4F The clearing tube is located at the rear entrance to the Area office. Officers were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer S. Miller maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The records were checked and no discrepancies or inaccuracies were noted.

**BORDER DIVISION**  
**CHAPTER 17 INSPECTION**  
**OCEANSIDE AREA**  
September 24, 2009

**OCEANSIDE AREA**  
**CHAPTER 17**  
Page 4 of 4

Sergeant K. VanOrsdel has been responsible for the recording of ammunition inventory during the requisition and returns of all scheduled range training ammunition. In addition, Sergeant K. VanOrsdel has maintained very organized ammunitions records system. The Oceanside Area is in compliance with policy which includes Government Code Section 13403.

**5      PHYSICAL METHODS OF ARREST**

**5A**      The officers were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.

**5B-D**      Officers [REDACTED] and [REDACTED] were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

**6      ENFORCEMENT TACTICS**

**6A**      The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

**7      PURSUIITS**

**7A**      The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

**CONCLUSION**

The Chapter 17 Inspection was conducted by Officers F. Lamb and J. Javier on September 24, 2009. Commander D. Schroder, Sergeant K. VanOrsdel, and Officer S. Miller were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.